

UNITED STATES  
NATIONAL COMMISSION ON  
LIBRARIES AND INFORMATION SCIENCE

# 1996-97 ANNUAL REPORT



**United States  
National Commission  
on Libraries and  
Information Science**

*Members serving during October 1996-September 1997*

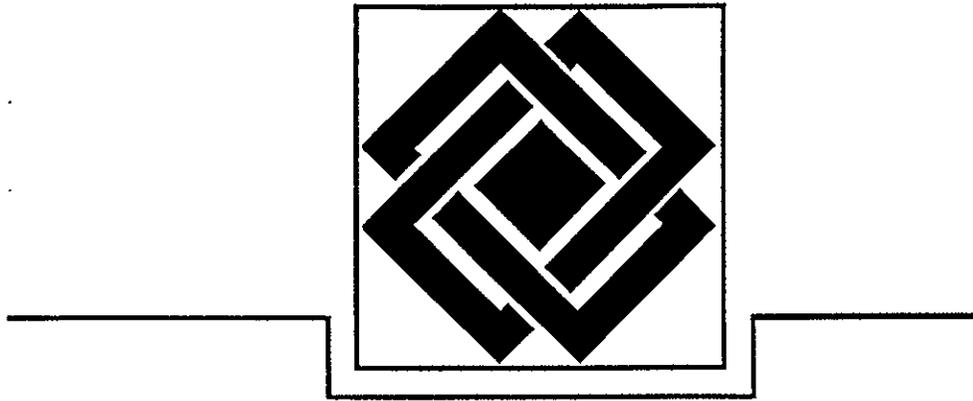
**Jeanne Hurley Simon, Chairperson**  
**Martha B. Gould, Vice Chairman**  
**C.E. "Abe" Abramson**  
**Walter Anderson**  
**James H. Billington (ex officio)**  
**LeVar Burton**  
**Joan R. Challinor**  
**Diane B. Frankel (ex officio)**  
**Mary S. Furlong**  
**José-Marie Griffiths**  
**Frank J. Lucchino**  
**Bobby L. Roberts**  
**Gary N. Sudduth**  
**Winston Tabb (represents Dr. Billington)**  
**Joel D. Valdez**  
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UNITED STATES  
NATIONAL COMMISSION ON  
LIBRARIES AND INFORMATION SCIENCE

## 1996-97 ANNUAL REPORT

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The NCLIS logotype is an abstract representation  
of the Commission's goal of "equal opportunity of  
access to information" for all citizens through  
interconnecting services and a central core of  
information.

Cover Design: Doreen Williams

The theme for the Commission's 1997 programs was the importance of library and information services in a networked, electronic environment. At the request of the Public Printer, NCLIS is undertaking an *Assessment of Standards for the Creation, Dissemination, and Permanent Accessibility of Electronic Government Information Products*. The results of that study will be reported in our 1997-1998 annual report.

Thank you for the honor to continue as Chairperson of the United States National Commission on Libraries and Information Science.

Sincerely,

A handwritten signature in black ink that reads "Jeanne H. Simon". The signature is written in a cursive, slightly slanted style.

Jeanne Hurley Simon  
NCLIS Chairperson

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United States  
National Commission on  
Libraries and Information Science

January 1998

The President  
The White House  
Washington, DC 20500

Dear Mr. President:

I am honored to transmit the 26th annual report of the United States National Commission on Libraries and Information Science (NCLIS), covering October 1, 1996 through September 30, 1997. This report is submitted in accordance with Section 5(a)7 of the NCLIS Public Law 91-345 as amended.

The Commission is very much aware of the powerful role of public libraries in the National Information Infrastructure. We are pleased to report that, according to the 1997 NCLIS/American Library Association national survey, 72.3% of U.S. public libraries are now connected to the Internet. This is a dramatic increase from the 42.9% connection reported in 1996. Connectivity decreases as the size of the population served decreases, but 66% of rural libraries are still connected. Connectivity for libraries serving 25,000 and up is nearly complete.

- The fifth annual Library and Information Services Policy Forum, sponsored by NCLIS and the National Center for Education Statistics, focused on *LSTA, State Grant Program: Implications for Use of and Additions to National Library Data*.
- Continued administration of State Department funds to support international library and archival activities.

The key statutory functions of the U.S. National Commission on Libraries and Information Science (NCLIS) can be summarized as follows:

1. NCLIS *discovers the needs* of the people of the U.S. for library and information services.
2. NCLIS *translates those needs* into recommended national policy identifying the needs of the people of the U.S. for library and information services.
3. NCLIS *advises* the President, the Congress, state and local governments and others on implementation of national policy.

NCLIS is not a regulatory or operating agency. To carry out the above functions, it conducts studies, surveys and analyses of the Nation's library and information needs, appraises the adequacies and deficiencies of the current library and information resources and research and development activities, conducts hearings, and issues publications. These activities support the development of policy advice and recommendations to the President and the Congress.

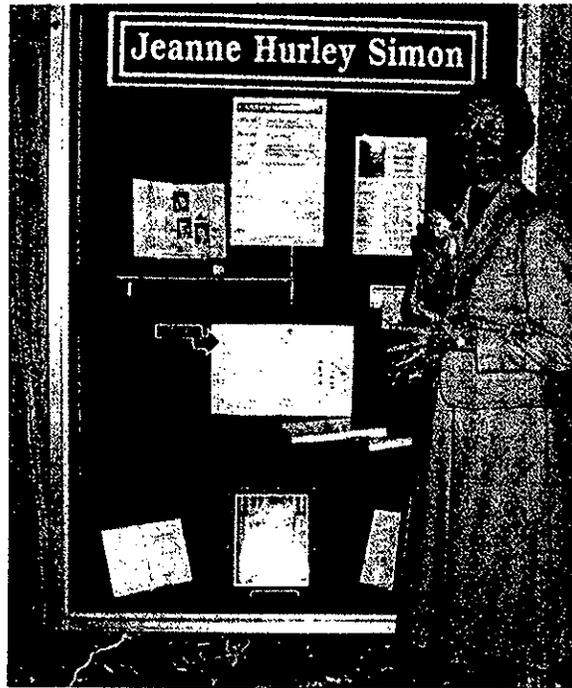
The Commission has fourteen members appointed for five-year terms by the President and confirmed by the Senate, plus the Librarian of Congress and the Director of the Institute of Museum and Library Services as ex officio members.

Selected highlights of NCLIS activities since it was established in 1970 follow:

Year	Activity
1997	<p>NCLIS undertakes study for U.S. Government Printing Office on <i>Assessment of Standards for the Creation, Dissemination, and Permanent Accessibility of Electronic Government Information Products</i></p> <p>Advice on federal grant programs to libraries under the new Institute of Museum and Library Services</p> <p>4th study of public libraries and the Internet</p>
1996	3rd study of public libraries and the Internet
1995	Advice to Congress and Administration on changes to the Library Services and Construction Act
1994	Study of public libraries and the Internet
1993	Forums on library and information services to children and young adults
1992	Completion of investigation into library and information services for Native Americans
1991	Second White House Conference on Library and Information Services

1990	Adoption of Principles of Public Information
1989	Cosponsorship of symposium, Information Literacy and Education for the 21st Century
1988	NCES and NCLIS establish Federal-State Cooperative Statistics program for public library data
1987	Adoption of Glenerin Declaration, trilateral (U.S., U.K., Canada) statement on the role of information in the economy
1986	Agreement with ACTION to promote library and information services to elderly
1985	Coordination of joint congressional hearing on changing information needs of rural America
1984	Publication of report on information policy implications of archiving satellite data
1983	Start of work with State Department to further international programs in library, information and archival areas
1982	Issuance of proceedings of hearings and report on library and information services to cultural minorities
1981	Report on public/private sector relations
1980	Oversight hearings on the Library Services and Construction Act

1979	First White House Conference on Library and Information Services
1978	Cosponsorship of institutes on consulting skills for state library personnel
1977	Examination of three issues: role of school libraries in a national networks, computer network protocols and standardization efforts for library services
1976	Issuance of National Inventory of Library Needs and report on role of Library of Congress in a national network
1975	Work with Congress, Register of Copyrights and others to resolve copyright issues and revise legislation
1974	Study of continuing library education needs of library personnel
1973	Hearings to develop a national program for library and information services
1972	Study of applications of new technology to library and information services
1971	First meeting of Commission on Sept. 20-21
1970	Enactment of Public Law 91-345, establishing the Commission



NCLIS Chairperson Jeanne Hurley Simon, upon her appointment as Adjunct Professor in Library Affairs, Southern Illinois University of Carbondale, 1997

Jeanne Hurley Simon was appointed Chairperson by the President in 1993. Mrs. Simon was on the Advisory Committee for the 1979 White House Conference on Library and Information Services. She is a native of Illinois and a graduate of Northwestern Law School. Memberships include the Illinois and District of Columbia Bar Associations, the Women's Bar Association of Illinois, the American Library Association, Association of American University Women, and a former member of the Illinois General Assembly.

Jeanne Simon has spent most of her life helping individuals and institutions. She is a life-long advocate of libraries and has devoted her interest and work in furthering the influence and missions of libraries and of the challenges they face.

At year's end Mrs. Simon's nomination for a second term as a Commissioner was pending in the Senate. She and her husband, the former Senator Paul Simon, reside in Makanda, Illinois.

# IN MEMORIAM



**Gary Neil Sudduth**

On July 28, 1997, Gary Neil Sudduth suffered a fatal heart attack at the age of 44. President Clinton appointed Gary Sudduth, Minneapolis Urban League President and Chief Executive Officer, to the Commission in 1992.

Mr. Sudduth was a member of the Minneapolis Public Library Board of Trustees for over ten years. As a Board President, he led planning for a new \$150 million facility.

In a joint statement the Minneapolis Mayor and City Council President said, "Gary led by bringing people together to solve problems. He was a collaborator and conciliator."



### **José-Marie Griffiths**

Dr. José-Marie Griffiths was appointed to the U.S. National Commission on Libraries and Information Science in July 1997.

Dr. Griffiths is the Chief Information Officer at the University of Michigan. She is a nationally and internationally known information scientist, researcher and teacher. Prior to her appointment at the University of Michigan, she had served in a number of administrative positions at the University of Tennessee - Knoxville, and in both research and management positions at King Research, Inc.

At the University of Michigan she also serves as Executive Director of the Information Technology Division. She has overall responsibility for information technology, instructional technology, policy and technology infrastructure.

Dr. Griffiths served as American Society for Information Science president in 1993. She was awarded the ASIS Research Award in 1990.

## 25th ANNIVERSARY

The Commission ended its year-long celebration of the 25th anniversary of NCLIS by presenting the remaining NCLIS Silver Awards. These special awards were given to honor representatives of all the people who have made noteworthy and sustained contributions to the strength of libraries and information services in the past 25 years at the national, state and/or local levels.

Chairperson Simon presented **Greg Byerly** with the NCLIS Silver Award at an awards luncheon on October 4, 1996, during a meeting in Columbus, Ohio.

Dr. Byerly is a faculty member at Kent State University's School of Library and Information Science and is an active participant in professional associations and a consultant to the development of Ohio's three major library and information networks (OhioLINK, INFOhio and OPLIN).

Vice Chair Gould presented a Silver Award to **Joan Kerschner** at Nevada Library Association Conference on October 13, 1996.

Ms. Kerschner is director, Nevada Department of Museums, Libraries and Parks and was recognized for establishing a statewide public library network, a fax network, a continuing education program, and overseeing the design and construction of a new building and the creation of a new state agency.

Staff member Jane Williams presented Silver Awards to **Mr. Shirley Hunt** and **Mrs. Jonnie Sprimont** at the annual meeting of Tampa Bay Library Consortium on November 8, 1996.

Mr. Hunt is executive director, Greater Clearwater, Florida, Public Library Foundation. About 1985 Mr. Hunt, a former trust banker, agreed to become the part-time, volunteer director of the foundation. Many improvements have been credited to his leadership.

Ms. Sprimont is director, Instructional Media, Pasco County Schools, Florida. Because of her foresight, hers is the state's only school district where every school has a technology specialist and Internet access in the media center. Mrs. Sprimont is current president of the Tampa Bay Library Consortium.

On December 18, Commissioner Challinor presented a Silver Award to **Theodore D. Mason** at the annual meeting of Indiana Cooperative Library Services Authority, Carmel, Indiana.

Theodore "Ted" Mason has served as director of East Chicago Public Library, Indiana since 1982. Dr. Mason runs an award-winning library serving a struggling community. He is innovative and responsive to his home town's problems and opportunities.

On December 12, Commissioners were present to observe library advocate **David McCullough** receive his Silver Award from Chairperson Simon and Commissioner Challinor.

David McCullough, Pulitzer Prize-winning author and historian, resides in West Tisbury, Massachusetts. Mr. McCullough speaks out forcefully for libraries at library association meetings, in public forums and by public service announcements for television.

Commissioner Burton presented a Silver Award to **Ray Bradbury** at an opening exhibit of children's books and illustrations on January 19, 1997, in the Los Angeles Public Library's central library.

Ray Bradbury is the premier author of fantasy and an active champion of libraries. A Los Angeles resident, Mr. Bradbury responds to invitations from libraries all over the country to speak, raise money or address boards of education or trustees.

With thanks to Ray Bradbury the Commission was mentioned on the television game show, Jeopardy on May 16, 1997. The answer under the "Awards" category was: "In 1997 this *Fahrenheit 451* author received an award from the U.S. National Commission on Libraries."

On April 19, 1997, Chairperson Simon presented a Silver Award to **George Ryan**, Illinois Secretary of State and State Librarian since 1991. Among his programs supporting libraries is "Live and Learn," which increased vehicle transfer and title fees to produce a new, dedicated source of state funds for public libraries. "Live and Learn" has produced over \$43.3M since 1993.

Commissioner Furlong will present a Silver Award to **Steve Case**, founder of America Online, Inc. Under Mr. Case's direction, America Online, Inc., has outpaced CompuServe and Prodigy to become the most popular online service on the planet.

In concluding its anniversary year, the Commission also recognized three individuals with long ties to NCLIS: Mary Alice Hedge, John G. Lorenz and the late Bessie Boehm Moore.



Silver Award winner David McCullough  
with Commissioner Joan Challinor (left)  
and Chairperson Jeanne Simon (right)

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## ADVICE TO EXECUTIVE AND LEGISLATIVE BRANCHES

*The Executive Branch*

Library Services and Technology Act

With the passage of the Library Services and Technology Act (LSTA), P.L. 104-208, NCLIS added to its responsibilities the role of advising the Institute of Museum and Library Services (IMLS) on general policy related to financial assistance for library and information services.

Although fiscal 1997 funds were appropriated under the LSCA and the Higher Education Act authorities, plans, regulations and guidelines had to be developed for fiscal 1998 grant programs under the new LSTA authority. The Commission is required to provide the IMLS Director with general policy advice related to LSTA financial assistance including those National Leadership Grants or Contracts in the following areas:

1. Education and training of persons in library and information science, particularly in areas of new technology and other critical needs, including graduate fellowships, traineeships, institutes, or other programs.
2. Research and demonstration projects related to the improvement of libraries, education in library and information science, enhancement of library services through effective and efficient use of new technologies, and dissemination of information derived from such projects.

3. Preservation or digitization of library materials and resources giving priority to projects emphasizing coordination, avoidance of duplication, and access by researchers beyond the institution or library entity undertaking the project.
4. Model programs demonstrating cooperative efforts between libraries and museums.

During the first meeting of NCLIS and the National Museum Services Board in May 1997, NCLIS pushed for flexibility in the new grants but also for recognition of the history of support for library education and training in the HEA IIB, repealed when LSTA was enacted. The final guidelines for FY 1998, the first year of the National Leadership Grants, will be published in the fall of 1997, with applications and awards in 1998.

In keeping with its new charge, on December 11, 1996, the Commission participated in an "LSTA Issues Briefing Roundtable" with representatives from the American Library Association, the Chief Officers of State Library Agencies, and the Urban Libraries Council.

Chairperson Simon and Vice Chair Martha Gould served on a panel in a March 1997 forum for library and museum people advising the IMLS director of their needs and wishes for the new agency and the new LSTA. Commissioners Frank Lucchino, Mary Furlong, Bobby Roberts and Joan Challinor attended the two-day forum as observers.

In addition to in-person meetings and written comments on the National Leadership Grants, NCLIS commented in writing on the draft strategic plan for the Institute for the Institute of Museum and Library Services.



**Diane B. Frankel**

With the passage of the Museum and Library Services Act on September 30, 1996, the Director of the Institute of Museum and Library Services (IMLS) serves ex-officio on the U.S. National Commission on Libraries and Information Science.

Diane B. Frankel, IMLS Director, brings twenty years of experience and accomplishments in an array of activities important to the museum field. As Director of the Institute she is a frequent federal spokesperson to highlight the contribution of museums and libraries as economic and educational institutions. She has addressed diverse groups about the Institute and museums, including Congressional committees, national organizations, other policy makers and countless national, regional and state museum and library association conferences.

Mrs. Frankel also serves on the Smithsonian Institution Council, an institution-wide advisory board.



**Martha B. Gould, NCLIS Vice Chair**

Martha B. Gould, appointed to the National Commission by President Clinton in 1993, has brought to the Commission a wealth of experience gained through a distinguished professional career in public and state libraries. She has served as the NCLIS Vice Chair since 1994.

Mrs. Gould is the retired Director, Washoe County Library System, giving 22 years of service to Nevada's libraries. She is a former candidate for mayor of Reno, Nevada. She was named Nevada Librarian of the "Years" in 1993, having been honored for continued service throughout her career. She was also named "A Woman of the Nineties" by Nevada Women Publications, ACLU Libertarian of the Year in 1988, 1989 AAUW's For Freedom's Sake Awardee, and is the recipient of the Nevada Women's Fund Hall of Fame award for Leadership 1989.

Present and past memberships include Co-Chair and Northern Nevada Coordinator; Governor's Conference on Nevada's Library and Information Needs 1990; Delegate to the Library of Congress' Pre-White House Conference on the National Research and Education Network; Chair, Governor's Conference on Women, 1989; Co-Chair, Washoe County School District Social Studies Advisory Task Force; Member, Planned Parenthood Mare Monte Board; Member, Truckee Meadows Habitat for Humanity Board; Member, RSVP Advisory Board; President, Nevada Library Association.



**James H. Billington**

*"Throughout a distinguished career, Dr. Billington has combined his love of scholarship with a dedication to public service because he believes that scholarship and freedom go hand in hand."*

William J. Clinton

Swearing in of James H. Billington as  
the Thirteenth Librarian of Congress  
September 13, 1987

The Commission's statute, P.L. 91-345, states that the Librarian of Congress serves as a permanent Member of the U.S. National Commission on Libraries and Information Science. James Hadley Billington was sworn in as The Librarian of Congress on September 14, 1987, and has served on the Commission since that date.

Dr. Billington is the 13th Librarian of Congress since the Library was established in 1800. He is an author, historian, educator, and administrator. From 1973 to 1987, he served as director of the Woodrow Wilson International Center for Scholars in Washington, DC. From 1964 to 1974, he was professor of history at Princeton University.

Dr. Billington holds a number of honorary degrees, is a member of the American Academy of Arts and Sciences and the American Philosophical Society, a Commander of the Order of Arts and Letters of France and a recipient of the Gwanghwa Medal of the Republic of Korea. In 1992 he received the Woodrow Wilson Award, Princeton University.



**Winston Tabb**

Since 1988 Winston Tabb has represented The Librarian of Congress on the U.S. National Commission on Libraries and Information Science.

Mr. Tabb has been with The Library of Congress since 1972, and in November 1995 was appointed Associate Librarian for Library Services. Prior to that position, he served as Associate Librarian for Collections Services, and as Acting Deputy Librarian of Congress from 1989 to 1992. He participated in the Library's Intern Program for outstanding library school graduates and worked in the Congressional Research Service, the Copyright Information and Reference Division, and the Loan Division

Mr. Tabb is a member of the Board of the Commission on Preservation and Access, OCLC's Research Libraries Advisory Committee, the Visiting Committee for Harvard Libraries, and the National Digital Library Federation Policy Committee. He serves as a member of IFLA's Professional Board and as Chair of IFLA's National Libraries Section and of the Coordinating Board for the Division of General Research Libraries.

### *Work with Federal Agencies*

NCLIS maintained and strengthened its working relationships with officials in the U.S. departments of Education, Labor and Commerce, the Office of Information and Regulatory Affairs in the Office of Management and Budget, the Federal Communications Commission, the National Institute for Literacy, and in other agencies to provide timely input on national and international policies affecting library and information services.

### *The Legislative Branch*

In 1997 NCLIS responded to all inquiries from Congress on legislation related to library and information services. In addition, NCLIS takes the initiative and offers information and comments when appropriate.

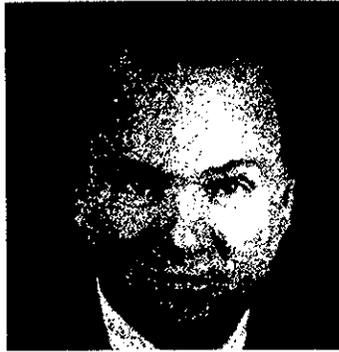
Major achievements, issues and objectives of federal policy concerning libraries and information services were in the areas of:

1. federal support for libraries as proposed in the Library Services and Technology Act (LSTA) and the Institute of Museum and Library Services (IMLS)
2. copyright/intellectual property
3. access to government information.

The Commission was involved in discussions of intellectual property, with draft bills to implement the 1996 treaty of the World Intellectual Property Organization regarding copyright and performances and phonograms.

In addition, the Commission responded to the Legislative Reference Division of OMB on inquiries for views on bills, draft bills and drafts of testimony on reforming government printing. NCLIS urged (1) completion of the evaluations then underway before

changing U.S. Code Title 44, Public Printing and Documents, and (2) that function, not just organization (e.g., executive versus legislative) be attended to and that whatever agency responsible for procuring, presenting and preserving public information have the resources and authority to meet its mandates.



**C. E. "Abe" Abramson**

C. E. "Abe" Abramson was appointed by President Clinton in 1996.

From Missoula, Montana, he has been a commercial and industrial real estate broker for 22 years. In 1978, Mr. Abramson was appointed to the Board of Trustees of the Missoula Public Library and served as Chair of the Board until 1985. In 1983 Mr. Abramson was appointed to the Montana State Library Commission and served as Vice Chair until 1991. As Vice Chair, he helped plan statewide public library development and multitype cooperative library programs and services.

Mr. Abramson is a founding trustee of the independent Missoula Public Library Foundation and continues as a board member. He received the Missoula Public Library's 1995 "Volunteer of the Year" award. "Abe" enjoys hunting, flyfishing and whitewater rafting.



**Walter Anderson**

Mr. Walter Anderson, Editor and Executive Vice President of *Parade* magazine, was appointed to the Commission by President Clinton in 1995.

Walter Anderson of White Plains, NY, has been the Editor of *Parade* magazine since 1980. Once a high school dropout, Mr. Anderson is a champion for literacy. A former investigative reporter and newspaper editor, he is also the author of *Read with Me*, *Courage Is a Three-Letter Word*, *The Greatest Risk of All* and most recently *The Confidence Course* [HarperCollins], based on a course he has taught at The New School for Social Research since 1994.

Mr. Anderson is a national spokesman for literacy and the GED program and was the keynote speaker at the American Library Association 1997 Annual Conference. He is a member of the Literacy Volunteers of America's national advisory board and a member of the board of advisors of the National Center for Family Literacy.

He is the recipient of many state and national journalism awards, including the 1994 Horatio Alger Award (which honors individuals who have achieved success despite difficult childhood circumstances) for which he was nominated by the late Norman Vincent Peale.

## LIBRARIES AND INFORMATION SERVICES IN A NETWORKED ENVIRONMENT

*Assessment of Standards for the Creation, Dissemination,  
and Permanent Accessibility of Electronic Government  
Information Products*

In fiscal 1997 negotiations continued on the study, *Assessment of Standards for the Creation, Dissemination, and Permanent Accessibility of Electronic Government Information Products*, to be conducted by NCLIS pursuant to an interagency agreement with the U.S. Government Printing Office (GPO).

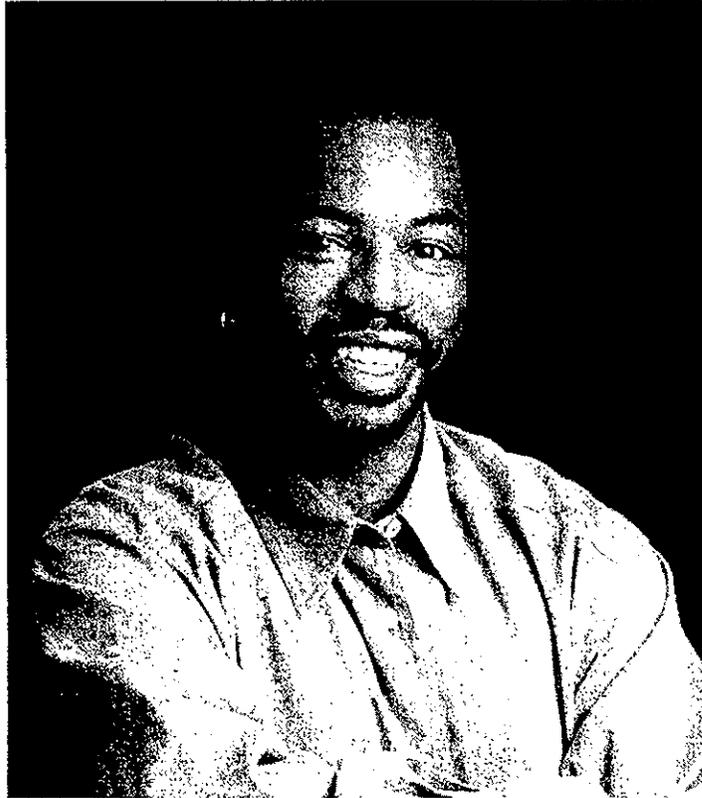
In a first-phase effort for the study, the Computer Science and Telecommunications Board (of the National Research Council, National Academy of Sciences) developed an overall study framework which recommended that the assessment's overarching goal should be to evaluate the availability, reliability, and accessibility of existing or planned electronic federal government information products, whether disseminated through the Federal Depository Library Program (FDLP) or directly through public access to agency electronic information services. The study's main benefactor is the Superintendent of Documents at the GPO, who manages the FDLP.

In early August 1997 NCLIS hired Dr. Forest Woody Horton, management consultant in Washington, DC, to continue developing a statement of work to secure contractual assistance to collect basic data from federal agencies on a broad cross-section sample of government information products. The purpose of the data collection is to identify and evaluate the most important problems and trends faced by the Federal Depository Library Program (FDLP) as a result of the increasing migration of government information products from paper and microform to electronic formats and mediums. Once a vendor has been identified, Dr. Horton will monitor the plan of action.

The study will be completed in 1998, and a final report produced as a deliverable by the contractor will identify findings, conclusions and recommendations, upon which the government (both agencies and the GPO) might take further action.



Peter R. Young, NCLIS Executive Director,  
and Alan Inouye, Computer Science and Telecommunications  
Board, meet in NCLIS office



**LeVar Burton**

LeVar Burton was appointed to the Commission by President Clinton in 1996.

Mr. Burton is an actor, producer and director. He is the host and co-executive producer of the PBS children's television series, "Reading Rainbow", which introduces children to the world of reading and books. Many know Mr. Burton for his roles as Geordi LaForge in the television series "Star Trek: The Next Generation" and as Kunta Kinte in the mini-series "Roots."

Mr. Burton authored his first book, a science-fiction novel called *Aftermath*, in 1997.



**Joan R. Challinor**

Commissioner Joan Challinor was appointed to the Commission by President Clinton in 1995.

Dr. Challinor is chair of the advisory committee of the Schlesinger Library on the History of Women in America at Radcliffe College, and a member of the Madison Council of the Library of Congress. She is also a director of Knight-Ridder, Inc., newspaper and electronic publisher. Dr. Challinor is a director of the French-American Foundation and served as consultant to the 50th Anniversary of World War II Commemoration Committee.

Dr. Challinor received her Ph.D. in History from the American University and was the recipient of a Distinguished Alumni Award in 1985. Her Majesty Queen Elizabeth II awarded her Honorary Membership in the British Empire. In addition, Dr. Challinor was awarded the Medal of the City of Paris, the Good Citizenship Medal from the Sons of the American Revolution, a distinguished Civilian Service Medal from the U.S. Army, and a Bicentennial Leadership Project Award from the Council for the Advancement of Citizenship.

Joan Challinor is married to David Challinor, the Scientist Emeritus at the Smithsonian Institution. They have four children.

## *National Survey of Public Libraries and the Internet*

In March 1997 NCLIS, in partnership with the American Library Association, surveyed U.S. public library involvement with the Internet, the global inter-connected computer network, to gather information on public library network costs and infrastructure.

According to the principal survey investigators, Dr. John Carlo Bertot, Assistant Professor at the Department of Information Systems, University of Maryland Baltimore County, and Dr. Charles R. McClure, Distinguished Professor at the School of Information Studies at Syracuse University, the survey found that while almost three-fourths of the Nation's public libraries are now connected to the Internet, less than one in seven has connected all or some of their branches.

In addition to information about library connectivity based on the population area served, this study also showed that libraries located in metropolitan areas had a higher rate of connectivity than those in rural areas (87% for central city, 83.5% for suburbs versus 66% for rural areas).

Further results showed that:

- 100% of libraries serving one million+ are connected.
- 95.5% of libraries serving over 500,000 but less than one million are connected.
- 93.1% of libraries serving 100,000-499,999 use the Internet.
- 92.5% of libraries serving communities of 25,000-99,999 are connected.
- 79.2% of libraries serving populations of 5,000-24,999 have Internet connections.
- 56.3% of libraries serving fewer than 5,000 are connected.

Data for the survey was received from 2,000 public libraries (with a 71.3% response rate). The sample was selected from the Universe File of public libraries, set up as part of the Library Statistics Program, a cooperative, 10-year effort of NCLIS and the National Center for Education Statistics.

Additional categories of data, including plans to connect, types and costs of connections, public access and types of services provided for the public, will be published by ALA in a final report to be released fall 1997. In addition, NCLIS plans to publish this fall a brief, derivative report, based on policy issues and trends from the first NCLIS-sponsored study in 1994 to the new study.

### *Telecommunication Rates*

Throughout the year, NCLIS Members and staff worked closely with the Federal Communications Commission (FCC) staff on developing regulations for universal service discounts for schools and libraries. In the comment submitted to FCC in December 1996, NCLIS stressed identifying high-cost and economically disadvantaged areas and noted the importance of universal service discounts that facilitate library users' access to advanced network services sufficient to individual communities' needs.

In addition, an article by Commissioner LeVar Burton published in USA Today, May 2-4, 1997, urged that schools and libraries need discount online charges. In part, the article stated, ". . . These discounts are desperately needed if our Nation's libraries and schools are to get on with the urgent business of providing the public with online connections. It's up to the FCC to turn Congress's good intentions into reality. Sixty percent of the jobs created by the year 2000 will require Americans to be computer

literate. Without significant discounts for schools and libraries, 21st century information technology will remain a science-fiction fantasy for millions of children and adults. . .”

On May 7, 1997, the FCC decided on rules giving significant discounts on telecommunication services for the nation's libraries and schools. The discounts range from 20% to 90%, with the deeper discounts for libraries and schools in rural, high-cost and low-income communities. The discounts are expected to provide up to \$2.25 billion annually beginning January 1, 1998.

#### *Global Information Infrastructure (GII)*

The Commission provided comments to the Interagency Working Group on Electronic Commerce on *A Framework for Global Electronic Commerce*. The Framework is the Administration's starting point for developing strategies to accelerate the growth of global commerces across the Internet.

The Commission recommended that needs of students and citizens be addressed by involving schools and libraries in framework issues. It also suggested a sixth framework principle: to address universal services.

Other pertinent activities in this area include staff attendance at sessions of the Conference on Fair Use and staff coordination of plans for a task force on output measures for electronic library services. Staff also met with the Executive Branch Printing Study Team to discuss reinventing government printing management for the 21st century.



### Mary S. Furlong

*Dr. Mary S. Furlong was appointed to be a Member of the Commission by President Clinton in 1994 to fill the NCLIS seat reserved for a person “. . . knowledgeable with respect to the library and information and service and science needs of the elderly.” (P.L. 91-345)*

Dr. Furlong is CEO and founder of Third Age Media, Inc., a new media company providing content, community and commerce for active older adults both online and offline. Before forming Third Age Media, Dr. Furlong founded SeniorNet, an 11-year old nonprofit organization whose prime mission is to educate older Americans in computer use.

Dr. Furlong was recently named one of Fast Company’s “Elite 100” CEO’s leading the digital revolution. She was a delegate to the 1995 White House Conference on Aging and is a professor of Education at the University of San Francisco.



**Frank J. Lucchino**

On April 14, 1994, President Clinton appointed Frank J. Lucchino to the U.S. National Commission on Libraries and Information Science for a term ending July 19, 1998.

Mr. Lucchino is a self-described "library zealot" and a trustee of The Carnegie Library of Pittsburgh. He has served as Controller of Allegheny County, Pennsylvania since his election in 1979. Since the March 1991 release of his report, "The Quiet Crisis: Libraries in Allegheny County", Mr. Lucchino has worked to improve library services to all Allegheny County residents, especially those in public housing communities and other economically disadvantaged areas. Mr. Lucchino was instrumental in the development of Knowledge Connections (mini-libraries with computer capabilities) in public housing communities.

For his efforts, Mr. Lucchino has won national, state and local library awards. He is the 1993 recipient of the White House Conference on Library and Information Services Taskforce (WHCLIST) Local Elected Official Award given for his "work on behalf of local libraries."

In 1992, Mr. Lucchino was presented the Library Advocate of the Year Award. He is a member of the Governor's Council on Library Development.

## 5

### LIBRARY STATISTICS

The U.S. National Commission on Libraries and Information Science cooperates with the National Center for Education Statistics (NCES) in implementing the Library Statistics Program. NCLIS also serves as a liaison to the library community, organizes meetings and training workshops, organizes training and technical assistance, monitors trends, and advises NCES on policy matters.

Fiscal Year 1997 marked the tenth consecutive year of the Library Statistics Program (LSP) through a Memorandum of Understanding between NCLIS and NCES. However, to provide a framework for planning and suggest goals for improvement, NCLIS initiated an assessment and planning project for the program.

Consultant Howard Harris began the August/September project for which will be completed in the fall of 1997. Programmatic aspects of the assessment include:

- Identification of values NCLIS contributes to and derives from the LSP,
- Identification of goals NCLIS and NCES share for the program,
- Recommended directions for future NCLIS involvement,
- Recommended long-term goals for improving the program.

The major LSP events in FY 1997 included the following:

- NCES/NCLIS Task Force on Electronic Services Output Measures, December 2, 1996.
- Annual training workshop and orientation for new state data coordinators, March 1997.
- State Library Agency Survey Steering Committee meetings, March and September 1997.
- Data Use Task Force meeting, June 1997.
- Steering Committee for Federal-State Cooperative System for public library statistics and its subcommittees met December 1996, and June and September 1997.
- Library and Information Services Policy Forum on Library Services and Technology Act, State Grant Program: Implications for Use of and Additions to National Library Data, September 15-16, 1997.
- Survey of Library Cooperatives Advisory Committee meeting, September 1997.

*Federal-State Cooperative System (FSCS) for Public Libraries Survey*

For the eighth consecutive year, data on public libraries were collected, reviewed and distributed. The 1994 data were collected in the summer of 1995 and electronic and paper copies were received in early 1997. These statistics are available to answer questions such as, What are the total annual operating incomes of public libraries? How many branches, bookmobiles, and other public library service outlets are operated by public libraries? How many books and other items do public libraries circulate in a year? What is the nationwide attendance at children's programs? How many people visit public libraries annually?

### *Academic Libraries Survey*

Academic library data are collected biennially as part of the Integrated Postsecondary Data System (IPEDS). The American Library Association's Office for Research and Statistics works with a committee of academic library specialists in advising NCES and NCLIS on improving the biennial survey.

### *State Library Agencies Survey*

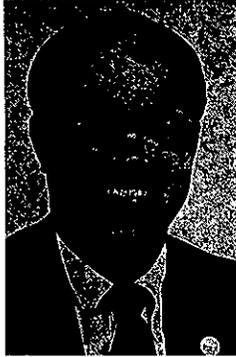
Data for this survey are collected electronically from each of the state library agencies. These are the units of state government designated by law as the state agency responsible for statewide library development and administering federal funds under the state-based programs of the Library Services and Technology Act (LSTA).

### *Library Cooperatives Survey*

This survey is in the review and testing stage and is expected to be fielded in paper and electronic formats in 1997 by the U.S. Bureau of the Census, acting as agent for NCES. It is to include library organizations having formal arrangements, budgets, and paid staff to provide library and information services for the mutual benefit of participating libraries.

*Forum on Library and Information Services Policy*

On September 15-16, 1997, NCLIS and the National Center for Education Statistics co-sponsored the fifth annual Forum on Library and Information Services Policy. The forums' objectives are to ensure that statistics about libraries and information services meet the needs of policy-makers at various levels and to guide the development of public policy related to libraries and information services. The 1997 forum was titled, "Library Services and Technology Act, State Grant Programs: Implications for Use of and Additions to National Library Data." Highlights of the forum are posted on NCLIS' Web site.



**Bobby L. Roberts**

Dr. Bobby Roberts of Little Rock, Arkansas, was appointed to the U.S. National Commission on Libraries and Information Science by President Clinton for a term expiring July 19, 1998.

Since 1989 Dr. Roberts has directed the Central Arkansas Library System in Little Rock. Prior to 1989, Dr. Roberts established the Archives and Special Collections department at the University of Arkansas at Little Rock.

Dr. Roberts is an accomplished author, publishing in the fields of U.S. history, librarianship, and archival management. He is a member of the American Library Association, the Southeastern Library Association, and the Arkansas Library Association. He has served as the President and Founding member of Arkansas Archivists and Records Managers, and he is the recipient of the 1993 Distinguished Services Award presented by the Arkansas Library Association.

In 1997 the one-year extension of the terms of two distinguished Commissioners ended. Shirley Gray Adamovich is the retired New Hampshire State Librarian and Commissioner of Cultural Affairs. Carol K. DiPrete is Dean for Academic Services at Roger Williams University in Providence, Rhode Island.



Shirley Gray  
Adamovich



Carol K. DiPrete

Both Commissioner Adamovich and Commissioner DiPrete helped guide NCLIS' efforts on library services for children and youth, pursuant to the top recommendation of the 1991 White House Conference on Library and Information Services.

Both contributed to a variety of other Commission efforts such as library statistics and information policy.

6

**INTERNATIONAL ACTIVITIES**

*U.S. Department of State*

Fiscal Year 1997 marked the twelfth year of cooperation with the Department of State to coordinate and monitor proposals for International Contributions for Scientific, Educational and Cultural Activities (ICSECA) funds and to disburse the funds. The allocation, in the State Department's International Organizations and Programs account, was under International Conventions and Scientific Organizations Contributions in past years.

The amount received for FY 1997 was \$100,000 an increase from the \$35,000 received for FY 1996. The following ICSECA programs were supported:

<u>Chen &amp; Chen Consultants</u> - To support planning and implementation of NIT Virtual Conference (NIT-VC) using Internet and WWW technologies.	\$10,000
<u>U.S. National Committee to International Federation for Information and Documentation</u> - To support FID's Global Information Alliance (GIA) activities related to GIA policies, to strengthen American involvement in FID related to the National Environmental Information System and other cooperative UNESCO-related programs.	20,000

<p><u>National Information Standards Organization -</u>  To support U.S. participation in the development of International Standards to support a Global Information Infrastructure (GII), the Secretariat of TC 46 Subcommittee 4 on Computer Applications in Information and Documentation (which includes work on electronic publishing standards), and the U.S. Technical Advisory Group (TAG) to the International Standards Organization's TC 46.</p>	16,000
<p><u>International Federation of Library Associations and Institutions</u>  To support a feasibility study for a survey of documents on ethnic groups in Central America and support a Universal Availability of Publications seminar in Latin America.</p>	20,000
<p><u>Library of Congress -</u> To provide partial support of the ISSN (International Standard Serial Number) Network which is also supported in part by UNESCO.</p>	12,000

<u>National Commission on Libraries and Information Science (NCLIS) -</u> For partial support of representatives to participate in the 63rd IFLA conference in Copenhagen, Denmark, including an interdivisional open forum, "Issues in the Ownership and Availability of Electronic Information." José-Marie Griffiths represented NCLIS on the panel.	22,000
<b>TOTAL</b>	<b>\$100,000</b>

*Other Activities*

While traveling in Argentina, Commissioner Joan Challinor visited the library at Ushuaia, Argentina, and met with the head of the regional library system.

NCLIS continued to host orientation and information-sharing sessions for librarians and other officials visiting the U.S., usually under the auspices of the U.S. Information Agency-sponsored International Visitor project titled "American Libraries", or Meridian House International.

On December 23, 1996, director Peter Young took part in a U.S. Information Agency (USIA) WorldNet Video Teleconference with German Librarians on libraries and copyright. On December 27, Mr. Young presented the keynote address to 13 academic librarians from Russia on government policies related to libraries at the USIA International Library Visitor Program.

In June 1997 Commissioner Challinor and Jane Williams, Acting Executive Director, met with 17 librarians (mostly university and national) from 16 countries in the opening phase of the USIA project. In August they met with international visitors sponsored by the Academy for Educational Development.

The 1997 visitors were Canadian telecommunications and Internet officials, librarians from Brazil, People's Republic of China, France, Russia, the National Director of the National Reading Room System, La Paz, Bolivia, and faculty member, Cultural Information Resources at the Surugadai University, Saitama, Japan.



**Joel D. Valdez**

Joel D. Valdez of Tucson was appointed to the U.S. National Commission on Libraries and Information Science by President Clinton for a term ending in 1998.

Joel Valdez is Senior Vice President for Business Affairs at the University of Arizona. Prior to this position, Mr. Valdez served as City Manager and Assistant City Manager of Tucson, and as the Administrative Assistant to the Director of the Tucson Public Library.

Mr. Valdez is active in international programs, serving in Mexico, Brazil, and Argentina with the U.S. Information Agency's American Participant (AMPART) Program. He served on the Board of Trustees of the National Academy of Public Administration, the National Council of the American Society of Public Administration, and the International City Managers Association.

Mr. Valdez was a Presidential appointee to the White House Conference on Balanced National Growth and Economic Development. In 1989, he received the Distinguished Achievement Award from the Arizona State University College of Public Programs.



**Robert S. Willard**

President William J. Clinton appointed Robert S. Willard of University Park, Maryland, to the U.S. National Commission on Libraries and Information Science for a term ending 1999.

Robert Willard has served as Vice President for Government Relations, Lawyers Cooperative Publishing; Director, Government Marketing for Mead Data Central, Inc. (an electronic publisher of legal and other materials); and from 1978 to 1985 as Vice President, Government Relations of the Information Industry Association. In 1977, he established and directed the Washington office of the Data Processing Management Association.

Mr. Willard served as Delegate-at-Large at the 1991 White House Conference on Library and Information Services (WHCLIS) and as a volunteer small group facilitator at the 1979 WHCLIS.

Memberships include Board of Directors, Friends of the Law Library of Congress, American Library Association, American Bar Association, American Society for Public Administration, Society for Information Science, American Marketing Association, and the Abraham Lincoln Association. He is a long-time collector of books and other material about Abraham Lincoln, and a member of the Advisory Board for the Illinois state agency which collects documents related to Lincoln's legal career.

## OTHER ACTIVITIES

### *Literacy*

In fulfilling its legislative mandate, the Commission is actively involved in cooperative literacy efforts and remains a strong supporter of literacy projects and programs involving libraries and information services. In their concern for literacy -- for all citizens -- individual Commissioners and staff members were involved in a variety of activities not reported in preceding chapters.

- On November 6, 1997 Commissioner Anderson was the keynote speaker at the New York State Reading Association's 30th annual conference.
- On January 17 Commissioner Diane Frankel and Jane Williams attended the Literacy Policy Forum sponsored by the Center for the Book.
- Chairperson Simon and director Peter Young attended the American Council on Education Conference, February 23-24, 1997.
- Commissioner James Billington, the Librarian of Congress, Commissioner Anderson and Peter Young were on hand for the March 21 launch of the National Institute for Literacy's national campaign for public awareness of literacy.
- Chairperson Jeanne Simon and Commissioner Robert Willard attended a meeting at the White House on "Prescription for Learning." on April 16, 1997.
- The following day, Commissioner Joan Challinor attended a one-day meeting at the White House on "Early Childhood Development and the Brain: What New Research Tells Us About Our Youngest Children".

- On June 28, Commissioner Anderson was the featured speaker at the Opening General Session at the 1997 American Library Association Annual Conference. Mr. Anderson's talk emphasized the impact of books on his success and questioned whether libraries should provide unlimited access to the Internet.

#### *Representation to Other National Groups*

Other Commissioners were active as well at the national, state and local levels. For example, Commissioner Frank Lucchnio addressed the Joint Library and Information Technology Association/Library Administration and Management Association National Conference on "Transforming Libraries: Leadership and Technology in the Information Age." Commissioner Frankel was the luncheon speaker at the American Federation of the Arts' Third Annual Directors Forum, and Commissioner José-Marie Griffiths gave a presentation on "The New Information Professional" at the Coalition for Networked Information.

Commissioners Joan Challinor and Robert Willard attended the Information Industry Association's (IIA) Annual Convention and Exhibit in October 1996. The conference's theme was "High Hopes, High Stakes, and High Anxiety: Creating and Managing Value in the Digital Marketplace."

NCLIS also had representatives at other major conferences, including American Council on Education, American Society for Information Science, Association of College and Research Libraries, Association of Library and Information Science Education, Coalition for Networked Information, and Public Library Association. Several Commissioners traveling in other countries also visited libraries and library groups at their own expense.

In addition, NCLIS staff members represented the Commission at various organizations' meetings and activities, including the National Alliance of Urban Literacy Coalitions, National Academy of Public Administration, National Forum on Information Literacy, and National Research Council.

Commissioners and staff remain active in the American Library Association and its divisions. They participated in conferences, met with the ALA executive board, attended National Library Legislative Day (sponsored by ALA), and worked together on briefings on the Library Services and Technology Act, and the 1997 *National Survey of Public Libraries and the Internet*.

#### *Coordination with Other Federal Groups*

During the year NCLIS staff worked and kept in touch with other groups that are in business to share information and coordinate similar activities in the federal government. Formal and informal meetings were held with representatives of CENDI (an interagency cooperative organization composed of the scientific and technical information managers from the Departments of Commerce, Energy, Defense, Health and Human Services, Interior, and NASA), the Center for the Book, Federal Publishers Committee, Conference on Fair Use, Federal Library and Information Center Committee (FLICC), and Small Agency Council.

#### *Liaisons to NCLIS*

During the year observers from the following organizations attended NCLIS meetings:

- American Library Association
- Chief Officers of State Library Agencies
- Information Industry Association

- National Library of Education, U.S. Department of Education
- White House Conference on Library and Information Services Taskforce.



Panelists: Karin Wittenborg, University Librarian, University of Virginia, Gordon Haaland, President, Gettysburg College (Moderator), and Jeanne H. Simon, NCLIS Chairperson, at the American Council on Education Conference which focused on the virtual library or the electronic library of the future

## PERSONNEL AND ADMINISTRATION

### *Commissioners*

On July 31, 1997, José-Marie Griffiths of Ann Arbor, Michigan, was confirmed by the Senate as a member of the Commission. Dr. Griffiths replaced Shirley Adamovich.

Dr. Griffiths is internationally known as a top information scientist, researcher and teacher. She has a Ph.D. in information science and a B.Sc, with honors, in physics. She is the Chief Information Officer at the University of Michigan and the Executive Director of the Information Technology Division with overall responsibility for all aspects of information technology, including telecommunications, networking, computing and infrastructure.

Commissioner Gary Sudduth died in July 1997.

Jeanne Hurley Simon and Martha Gould continued as Chairperson and Vice Chair of NCLIS respectively. At year's end Mrs. Simon's nomination for a second term was pending in the Senate.

*Committees of the Commission*

<b>Committee</b>	<b>Membership</b>
<i>Issues Related to the Library Services and Technology Act and the Institute of Museum and Library Services</i>	Joan Challinor Mary Furlong Martha Gould Frank Lucchino Bobby Roberts
<i>Personnel Committee</i>	Martha Gould, chair Gary Sudduth José-Marie Griffiths Robert Willard
<i>Public Access to Government Information</i>	Abe Abramson James Billington (or Winston Tabb) Joan Challinor Martha Gould José-Marie Griffiths Robert Willard
<i>Search Committee for NCLIS Executive Director (established May 1997)</i>	Martha Gould (chair) Joan Challinor José-Marie Griffiths Gary Sudduth Joel Valdez Robert Willard

The 25th Anniversary Committee, with Joan Challinor as chair, and Bobby Roberts, Gary Sudduth and Robert Willard as members, completed its work with presentations of the remaining NCLIS Silver Awards. [See Chapter 2, 25th Anniversary.]

### *Meetings of the Commission*

The first meeting of the fiscal year was in Washington, DC, December 12-13, 1996. Highlights included discussions with IMLS Director and new Commissioner Diane Frankel regarding NCLIS advice on the Library Services and Technology Act, updates from the Register of Copyrights and the Librarian of Congress on national and international developments related to copyright, including Conference on Fair Use (CONFU) and the World Intellectual Property Organisation (WIPO).

The second meeting was also in Washington, DC, May 7 and May 9, 1997, in conjunction with the May 8 meeting with the National Museum Services Board. Commissioners heard progress reports and agreed on priorities for their work during June through September.

### *Staff and Contract Employees*

On May 7, Executive Director Peter R. Young announced he would leave NCLIS on May 30 to head the Cataloging Distribution Service at the Library of Congress. Mr. Young served as NCLIS director for almost seven years, the longest-serving director to date.

Jane Williams, NCLIS Research Associate, was appointed Acting Executive Director beginning June 1, 1997.

Chairperson Simon established an NCLIS Search Committee and posted ads seeking a replacement in major newspapers, and library and information service journals and magazines.

After 57 years as a librarian, John G. Lorenz announced his retirement on September 30, 1997. Mr. Lorenz joined the staff in 1988 as consultant Coordinator of the Library Statistics Program, a cooperative effort with the National Center for Education Statistics.

#### *Budget*

The NCLIS budget for FY 1997 was \$897,000 up from the \$829,000 for FY 1996, and back to the level for FY 1995. The FY 1997 fiscal statement is Appendix E of this report.

#### *NCLIS World Wide Web*

NCLIS established its homepage site on the World Wide Web in June 1996. In its first six months of operation, the site received a total of 29,651 hits accessing 213,571,240 bytes of information. Many favorable comments have been received from librarians and others regarding its usefulness. To access the NCLIS homepage: [www.nclis.gov](http://www.nclis.gov)

NCLIS staff and Commissioners continued to conduct much business among themselves and externally via electronic mail. Staff continue networking and electronic training.

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## MEMBERS OF THE COMMISSION AND STAFF

The Commission is composed of 14 members appointed by the President and confirmed by the Senate, plus the Librarian of Congress serving ex officio, and the Director of the Institute of Museum and Library Services serving ex officio, non-voting.

Following is a list of the Presidential appointees with the years their appointments expire in parentheses. All terms expire on July 19 of the given year.

**Jeanne Hurley Simon**, NCLIS Chairperson, 1993 -  
Makanda, IL (1997)

**Martha B. Gould**, NCLIS Vice Chair, 1994 -  
Reno, NV (1997)

**C. E. "Abe" Abramson**  
Missoula, MT (2000)

**Walter Anderson**  
New York, NY (2000)

**James H. Billington**, Ph.D.  
The Librarian of Congress  
(Ex Officio Member)

**LeVar Burton**  
Sherman Oaks, CA (2000)

**Joan R. Challinor**, Ph.D.  
Washington, DC (1999)

**Diane B. Frankel**, Director  
Institute of Museum and Library Services  
(Ex Officio Member)

**Mary S. Furlong**, Ph.D.  
San Francisco, CA (1999)

**José-Marie Griffiths**, Ph.D.  
Ann Arbor, MI (2001)

**Frank J. Lucchino**  
Pittsburgh, PA (1998)

**Bobby L. Roberts**, Ph.D.  
Little Rock, AR (1998)

**Winston Tabb**  
(Represents Dr. Billington)

**Joel D. Valdez**  
Tucson, AZ (1998)

**Robert S. Willard**  
University Park, MD (1999)

**Former Chairmen**



**J. Michael Farrell**  
1992-1993



**Charles E. Reid**  
1990-1992



**Jerald C. Newman**  
1987-90



**Kenneth Y. Tomlinson**  
1986-1987



**Elinor M. Hashim**  
1982-1986

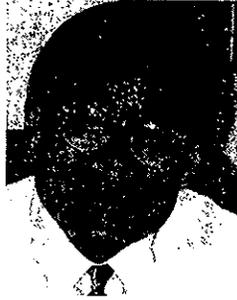


**Charles Benton**  
1978-1982



**Frederick Burkhardt**  
1970-1978

**Commission Staff**



**Peter R. Young**  
Executive Director  
Aug. 1990-June 1997



**Jane Williams**  
Research Associate  
Acting Executive Director  
June 1997-



**Mary Alice Hedge**  
Associate Executive  
Director



**Kim Miller**  
Special Assistant -  
Technical



**Cherylene W. Rollerson**  
Secretary

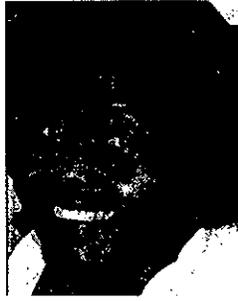


**Vivian D. Terrell**  
Administrative Officer

**Library Statistics Program Staff**



**John G. Lorenz**  
Coordinator  
1988-1997



**Mimie L. Rutledge**  
Special Assistant - Fiscal



**Barbara L. Whiteleather**  
Special Assistant

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## Former Members of the Commission

**SHIRLEY GRAY ADAMOVICH**, Former New Hampshire State Librarian and Commissioner of Cultural Affairs, Durham, NH (1991-96)

**ANDREW A. AINES**, former Director, Office of Scientific and Technical Information, U.S. Department of Energy, Washington, DC (1970-76), Acting NCLIS Executive Director, July - Nov. 15, 1980 (Deceased 1996)

**HELMUT A. ALPERS**, former Executive Vice President, Gartner Group, Inc., Stamford, CT (1979-84)

**GORDON M. AMBACH**, Executive Director, Chief State School Officers, Washington, DC (1980-85)

**WILLIAM O. BAKER**, former Chairman, Bell Telephone Laboratories, Murray Hill, NJ (1970-75)

**PATRICIA BARBOUR**, former Member, National Advisory Council on Community Education, Dearborn Heights, MI (1984-89) (Resigned 1988)

**JOSEPH BECKER**, President, Becker and Hayes, Inc., Santa Monica, CA (1970-79) (Deceased 1995)

**CHARLES BENTON** (Chairman Emeritus), NCLIS Chairman (1978-82) and Commissioner (1982-85). Chairman, Public Media, Inc., Chicago, IL

**DANIEL J. BOORSTIN**, former Librarian of Congress (1975 - September 1987), Washington, DC

**FREDERICK BURKHARDT** (Chairman Emeritus),  
NCLIS Chairman (1970-78) and former Vice  
Chairman, National Advisory Commission on Libraries  
(1966-68), Bennington, VT

**ROBERT W. BURNS, JR.**, former Assistant Director of  
Libraries for Research Services, Colorado State  
University, Fort Collins, CO (1977-81)

**DANIEL H. CARTER**, President, Daniel Carter  
Consulting, Houston, TX (1984-89). Acting NCLIS  
Executive Director, portion of 1988

**DANIEL W. CASEY**, retired communications executive,  
Syracuse, NY (1973-1987, 1984-1989, 1990-1994)  
(Deceased 1995)

**HAROLD CROTTY**, former President, Brotherhood of  
Maintenance of Way Employees, Detroit, MI (1970-77)  
(Resigned 1975) (Deceased 1986)

**CARLOS A. CUADRA**, President, Cuadra Associates,  
Santa Monica, CA (1970-84)

**CAROL K. DiPRETE**, Dean for Academic Services,  
Roger Williams University, Bristol, RI (1991-96)

**LESLIE W. DUNLAP**, former Dean, Library  
Administration, University of Iowa Libraries, Iowa  
City, IA (1970-75)

**LEE EDWARDS**, Director, Institute on Political  
Journalism at Georgetown University, Washington, DC  
(1985-90)

**J. MICHAEL FARRELL**, NCLIS Chairman (1992-93)  
and Commissioner (1990-92). Attorney at Law,  
Washington, DC.

**WANDA L. FORBES**, School Librarian and Former Member, South Carolina Commission on Higher Education, Columbia, SC (1983-93)

**FRANK GANNON**, President, Frank Gannon Productions, and former Editor of *Saturday Review*, New York, NY (1985-90)

**MARTIN GOLAND**, President, Southwest Research Institute, San Antonio, TX (1970-77)

**JOAN H. GROSS**, former Assistant for Public Affairs, New York City, Department of Housing, Preservation and Development, New York, NY (1978-82)

**ELINOR M. HASHIM** (Chairman Emeritus), NCLIS Chairman (1982-86 and Commissioner (1982-1986). Former OCLC Government Relations Officer, Newington, CT. Acting Executive Director, portion of 1986.

**PAULETTE H. HOLAHAN**, Deputy Judicial Administrator for Public Information, Louisiana Supreme Court, New Orleans, LA (1979-85)

**CLARA STANTON JONES**, former Director, Detroit Public Library and former President, American Library Association, Oakland, CA (1978-82)

**JOHN E. JUERGENSMEYER**, attorney, Juergensmeyer and Associates, Elgin, IL (1982-87)

**NORMAN KELINSON**, President, Universal Financial Services, Davenport, IA (1991-1995)

**JOHN KEMENY**, former President, Dartmouth College, Hanover, NH (1970-73) (Deceased 1992)

**FRANCIS KEPPEL**, former Director, Aspen Institute for Humanistic Studies, Cambridge, MA (1978-83) (Deceased 1990)

**BYRON LEEDS**, former Vice President, Post Graphics, Inc., Carlstadt, NJ (1982-86)

**MARIAN P. LEITH**, former Assistant Director and former Program Director, State Library, Raleigh, NC (1975-80)

**LOUIS A. LERNER**, former Ambassador to Norway and Publisher, *Lerner Home Newspapers*, Chicago, IL (1970-77) (Deceased 1985)

**BEN-CHIEH LIU**, Professor of Management and Information Science, Chicago State University, Lisle, IL (1991-93)

**JOHN G. LORENZ**, former Deputy Librarian of Congress, Washington, DC (served for Dr. Mumford, 1970-75). Acting NCLIS Executive Director, July - August 1990

**JAMES E. LYONS**, Publisher, University Press of America, Inc., Lanham, MD (1991-94) (Resigned 1993)

**BESSIE BOEHM MOORE** (Vice Chairman Emeritus), former Executive Director, State Council on Economic Education, Little Rock, AR (1971-88) (Deceased 1995)

**L. QUINCY MUMFORD**, former Librarian of Congress, Washington, DC (1970-75) (Deceased 1982)

**FRANCES H. NAFTALIN**, former President, Minneapolis Public Library Board, Minneapolis, MN (1978-82)

**GEORGE H. NASH**, author, historian, and biographer of  
Herbert Hoover, West Branch, IA(1986-90)  
(Resigned March 1990)

**JERALD C. NEWMAN** (Chairman Emeritus), NCLIS  
Chairman (1987-1990) and Commissioner (1982-92),  
North Woodmere, NY

**RAYMOND J. PETERSEN**, former Executive Vice  
President, Hearst Magazines, New York, NY (1988-91)

**MARGARET PHELAN**, President, Phelan Business  
Research, Shawnee Mission, KS (1983-89). Acting  
NCLIS Executive Director, portion of 1988  
(Resigned 1989)

**CHARLES E. REID** (Chairman Emeritus), NCLIS  
Chairman (1990-92) and Commissioner (1988-93), Fort  
Lee, NJ

**RALPH A. RENICK**, former Vice President/News  
Director, WTVJ News, Miami, FL (1975-77)  
(Completed Harold Crotty's term) (Deceased 1991)

**KAY W. RIDDLE**, Denver, CO (1991-1995)

**CATHERINE D. SCOTT**, Chief Librarian, Museum  
Reference Center and former Librarian, Air and Space  
Museum, Smithsonian Institution, Washington, DC  
(1970-76)

**PHILIP A. SPRAGUE**, businessman, Chicago, IL (1978-  
83)

**GARY N. SUDDUTH**, President and CEO, Minneapolis  
Urban League, Minneapolis, MN (1992-97)  
(Deceased 1997)

**ELINOR H. SWAIM**, former chairman, North Carolina State Library Commission, and Rowan Public Library Board of Trustees, Salisbury, NC (1988-94)

**HORACE E. TATE**, State Senator (Georgia) and former Executive Director, Georgia Association of Educators, Atlanta, GA (1977-81)

**BARBARA J. H. TAYLOR**, former Librarian General, Daughters of the American Revolution, Germantown, MD (1985-1990, 1991-1995).

**KENNETH Y. TOMLINSON** (NCLIS Chairman, 1986 - April 1987), Executive Editor, *Reader's Digest*, Pleasantville, NY (1986-87) (Resigned April 1987)

**SALLY JO VASICKO**, former Assistant Secretary, Employment and Training Administration, U.S. Department of Labor and former Chairperson and Professor, Ball State University, Muncie, IN (1987-92) (Resigned 1990)

**JOHN E. VELDE, JR.**, businessman, Hollywood, CA (1970-79)

**MARGARET S. WARDEN**, former President, Montana Library Association and former State Senator, Helena, MT (1979-84)

**WILLIAM A. WELSH**, former Deputy Librarian of Congress, Washington, DC (served for Dr. Boorstin, 1976-88)

**JULIA LI WU**, President, Los Angeles Community College District Board, Los Angeles, CA (1973-78, 1982-92)

**MILDRED E. YOUNGER**, Member, Board of Directors,  
Los Angeles Library Association, Los Angeles, CA  
(1975-80)

**ALFRED R. ZIPF**, former Executive Vice President,  
Bank of America, San Francisco, CA (1970-73)

# Appendix A

## U.S. National Commission on Libraries and Information Science

Consolidation of NCLIS' Legislation\*

### Public Law 91-345

July 20, 1970

As amended by Public Law 93-29, Section 802, May 3,  
1973,

Public Law 102-95, August 14, 1991, and Public Law 102-  
408, Title II, Section 3, September 30, 1996

*An Act*

To establish a National Commission on Libraries and  
Information Science, and for other purposes.

*Be it enacted by the Senate and House of  
Representatives of the United States of America in  
Congress assembled, That this Act may be cited as the  
"National Commission on Libraries and Information  
Science Act".*

### STATEMENT OF POLICY

SEC. 2. The Congress hereby affirms that library and  
information services adequate to meet the needs of the  
people of the United States are essential to achieve national  
goals and to utilize most effectively the Nation's  
educational resources and that the Federal Government will  
cooperate with State and local governments and public and  
private agencies in assuring optimum provision of such  
services.

### COMMISSION ESTABLISHED

SEC. 3. There is hereby established as an independent  
agency within the executive branch, a National

### CONTRIBUTIONS

SEC. 4 The Commission is authorized to accept, hold, administer, and utilize gifts, bequests, and devises of property, both real and personal, for the purpose of aiding or facilitating the work of the Commission. Gifts, bequests, and devises of money and proceeds from sales of other property received as gifts, bequests, or devises shall be deposited in the Treasury and shall be available for disbursements upon the order of the Commission.

### FUNCTIONS

SEC. 5 (a) The Commission shall have the primary responsibility for developing or recommending overall plans for, and advising the appropriate governments and agencies on, the policy set forth in section 2. In carrying out that responsibility, the Commission shall—

(1) advise the President and the Congress on the implementation of national policy by such statements, presentations, and reports as it deems appropriate;

(2) conduct studies, surveys, and analyses of the library and informational needs of the Nation, including the special library and informational needs of rural areas, or economically, socially, or culturally deprived persons, and of elderly persons, and the means by which these needs may be met through information centers, through the libraries of elementary and secondary schools and institutions of higher education, and through public, research, special, and other types of libraries;

(3) appraise the adequacies and deficiencies of current library and information resources and services and evaluate the effectiveness of current library and information science programs;

(4) develop overall plans for meeting national library and informational needs and for the coordination of activities at the Federal, State, and local levels, taking into consideration all of the library and informational resources of the Nation to meet those needs;

(5) be authorized to advise Federal, State, local, and private agencies regarding library and information sciences;

(6) promote research and development activities which will extend and improve the Nation's library and information-handling capability as essential links in the national and international communications and cooperative networks;

(7) submit to the President and the Congress (not later than January 31 of each year) a report on its activities during the preceding fiscal year; and

(8) make and publish such additional reports as it deems to be necessary, including, but not limited to, reports of consultants, transcripts of testimony, summary reports, and reports of other Commission findings, studies, and recommendations.

(b) The Commission shall have the responsibility to advise the Director of the Institute of Museum and Library Services on general policies with respect to the duties, powers, and authority of the Institute of Museum and Library Services relating to library services, including -

(1) general policies with respect to --

(A) financial assistance awarded under the Museum and Library Services Act for library services; and

(B) projects described in section 262(a)(4) of such Act; and

(2) measures to ensure that the policies and activities of the Institute of Museum and Library Services are coordinated with other activities of the Federal Government.

(c) (1) The Commission shall meet not less than 1 time each year in a joint meeting with the National Museum Services Board, convened for purposes of providing advice on general policy with respect to financial assistance for projects described in section 262(a)(4) of such Act.

(2) All decisions by the Commission and the National Museum Services Board with respect to the advice on general policy described in paragraph (1) shall be made by a 2/3 majority vote of the total number of the members of the Commission and the National Museum Services Board who are present.

(3) A majority of the members of the Commission and a majority of the members of the national Museum Services Board shall constitute a quorum for the conduct of business at official joint meetings of the Commission and the National Museum Services Board.

(d) The Commission is authorized to contract with Federal agencies and other public and private agencies to carry out any of its functions under subsection (a) and to publish and disseminate such reports, findings, studies, and records as it deems appropriate.

(e) The Commission is further authorized to conduct such hearings at such times and places as it deems appropriate for carrying out the purposes of this Act.

(f) The heads of all Federal agencies are, to the extent not prohibited by law, directed to cooperate with the Commission in carrying out the purposes of this Act.

#### **MEMBERSHIP**

SEC. 6. (a) The Commission shall be composed of the Librarian of Congress, the Director of the Institute of Museum and Library Services (who shall serve as an ex officio, nonvoting member), and fourteen members appointed by the President, by and with the advice and consent of the Senate. Five members of the Commission shall be professional librarians or information specialists, and the remainder shall be persons having special

competence in or knowledge of the needs of our society for library and information services, at least one of whom shall be knowledgeable with respect to the technological aspects of library and information services and sciences, and at least one other of whom shall be knowledgeable with respect to the library and information service and science needs of the elderly. One of the appointive members of the Commission shall be designated by the President as Chairman of the Commission. A majority of members of the Commission shall constitute a quorum for conduct of business at official meetings of the Commission. The terms of office of the appointive members of the Commission shall be five years, except that (1) the term of office of any member of the Commission shall continue until the earlier of (A) the date on which the member's successor has been appointed by the President; or (b) July 19 of the year succeeding the year in which the Member's appointed term of office shall expire, and (2) a member appointed to fill a vacancy occurring prior to the expiration of the term for which his predecessor was appointed shall be appointed only for the remainder of such term.

(b) Members of the Commission who are not in the regular full-time employ of the United States shall, while attending meetings or conferences of the Commission or otherwise engaged in the business of the Commission, be entitled to receive compensation at a rate fixed by the Chairman, but not exceeding the daily equivalent of the maximum rate authorized for a position above grade GS-15 of the General Schedule under section 5108 of title 5, United States Code, for each day (including travel-time) during which the members are engaged in the business of the Commission. While so serving on the business of the Commission away from their homes or regular places of business, they may be allowed travel expenses, including per diem in lieu of subsistence, as authorized by section 5703 of title 5, United States Code, for persons employed intermittently in the Government Service.

(c) (1) The Commission is authorized to appoint, without regard to the provisions of title 5, United States Code, covering appointments in the competitive service, such professional and technical personnel as may be necessary to enable it to carry out its function under this Act.

(2) The Commission may procure, without regard to the civil service or classification laws, temporary and intermittent services of such personnel as is necessary to the extent authorized by section 3109 of title 5, United States Code, but at rates not to exceed the rate specified at time of such service for grade GS-18 in section 5332 of title 5, United States Code, including traveltime, and while so serving on the business of the Commission away from their homes or regular places of business they may be allowed travel expenses, including per diem in lieu of subsistence, as authorized by section 5703 of title 5, United States Code, for persons employed intermittently in the Government service.

#### **AUTHORIZATION OF APPROPRIATIONS**

SEC 7. There are authorized to be appropriated \$911,000 for fiscal year 1992 and such sums as may be necessary for each succeeding fiscal year thereafter to carry out the provisions of this Act.

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Copies of the individual statutes on which the above consolidation is based are available from the NCLIS office: PL 91-345, PL 1012-95, PL 104-208.  
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\*The official source of statutes in effect as of a certain date is the United States Code. NCLIS legislation is in Title 20, Chapter 34, parts 1501-1506.

## Appendix B

Publications, Speeches and Presentations  
at Major Conferences and Meetings  
(October 1996 - September 1997)

### *Print Publications*

\**Annual Report 1995-1996*. 1997, 58 pages.

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Williams, Jane. "Recent Changes for Three Federal Library and Information Agencies: Lessons to the Field, from the Field, or Neither?" in *Bowker Annual: Library and Book Trade Almanac*, 1997, pp. 258-269.

Williams, Jane. "U.S. National Commission on Libraries and Information Science" in *Bowker Annual: Library and Book Trade Almanac*, 1997, pp. 35-40.

\*At the time of publication of this annual report, copies of these publications are available free of charge from the NCLIS office.

### *Electronic Publications*

(available at <http://www.nclis.gov>)

Reports, hearing testimony, comments on various matters before the Congress and the Administration, legislative reports, columns and other items are on the Commission's Web site.

## *Speeches*

October 6, 1996 Northwest Medical Librarians Association meeting, Whitefish, Montana, remarks (Abe Abramson)

October 16 "Transforming Libraries: Leadership and Technology for the Information Age," national conference, Pittsburgh, Pennsylvania, panel (Frank Lucchino)

April 3, 1997 American Association of School Librarians national conference, Portland, Oregon, opening general session remarks (Martha Gould)

May 2 Sixth Annual North Carolina Serials Conference, Chapel Hill, NC, presentation "Postmodern Trends and Serialists" (Peter Young)

June 28 American Library Association Annual Conference, San Francisco, California, keynote speaker, opening general session (Walter Anderson)

August International Federation of Library Associations general conference, Copenhagen, Denmark, panel (José-Marie Griffiths)

## Appendix C

Fiscal Statement - October 1996 - September 1997

NCLIS Appropriation	\$897,000
Funds from other federal agencies	
Department of State	100,000
National Center for Education Statistics	224,000
Government Printing Office	25,000

### Obligations, NCLIS appropriation

Personnel compensation	
NCLIS staff	384,000
Commissioners	34,000
Other	20,000
Benefits	70,000
Travel and Transportation of persons	60,000
Rent	124,000
Comm, utilities, misc. charges	13,000
Printing and reproduction	4,000
Consulting services	99,000
Other services	4,000
Interagency services	55,000
Supplies and materials	16,000
Equipment	10,000
Total obligated as of January 20, 1998	\$893,000