



National Commission on Libraries and Information Science

1717 K STREET, N.W. SUITE 601, WASHINGTON, D.C. 20036

FORMAL MOTIONS AND ACTIONS

WHITE HOUSE CONFERENCE ON LIBRARY AND INFORMATION SERVICES ADVISORY COMMITTEE

September 26 and 27, 1977
Chicago, Illinois

- (1) It was MOVED by Virginia Young, and seconded, that the Minutes of the March 20 and 21, 1977, Advisory Committee meeting be accepted with one correction. The Minutes will reflect Mr. Edwin Parker, not Mr. Walter Curley, as Chairman of the Private Sector Committee. Passed unanimously.
- (2) It was MOVED by David Gergen, and seconded by Virginia Young, that the following statement be adopted as an official "goal" of the Advisory Committee:

(4) It .

"It is the goal of the White House Conference on Library and Information Services Advisory Committee that the process of the pre-White House Conference will result in a serious examination, by each state and territory, of its own needs, and of the National Program for Library and Information Services and other national issues, and in a substantive expression of its perceptions of its own roles and responsibilities in addressing those national issues."

The motion was passed unanimously.

- (5) (3) It was MOVED by Kenneth Jernigan, and seconded by Walter Curley, that the official number of delegates to the National Conference will be determined in accordance with the following guideline:

"The states and territories be given voting delegates with a minimum for each state and territory of four and the balance to be worked out according to the following ratio:



National Commission on Libraries and Information Science

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MINUTES

September 29 and 30, 1977
Chicago, Illinois

MEMBERS PRESENT: Daniel W. Casey; Carlos A. Cuadra; Martin Goland.
(Thursday only); Bessie B. Moore; Marian P. Leith;
John E. Velde, Jr.; William Welsh (for Daniel Boorstin);
Julia Li Wu; Mildred E. Younger; and Frederick Burkhardt,
Presiding

GUESTS PRESENT : Lois Pearson, American Libraries
Art Plotnik, American Libraries
Peter Urbach, National Technical Information Service
Ben Weil, Copyright Clearance Center
Helene Ebenfield, National Science Foundation
Hal Olson, American Society for Information Science
Paul Cantor, American Society for Information Science
Don King, King Research
Dennis McDonald, King Research

STAFF PRESENT : Alphonse F. Trezza, Executive Director;
Douglas S. Price; Mary Alice Hedge Reszetar;
Ruth L. Tighe; William D. Mathews; Carl C. Thompson;
and Barbara K. Cranwell, Recording Secretary

The meeting was called to order by Chairman Burkhardt at 9:00 a.m.

NCLIS/WHCLIS Staff

Mr. Trezza announced that Ruth L. Tighe, previously serving as Research Associate on the NCLIS staff, has been transferred to the White House Conference staff to serve as the Conference Program Coordinator. He then introduced William D. Mathews, formerly Director of the Systems Division at the New England Board of Higher Education (NEBHE), New England Library Information Network (NELINET), who joined the Commission staff as Staff Associate for Information Technology on September 12.

At NELINET, where he was employed for four years, Mr. Mathews was responsible for the planning and design of an on-line telecommunications network and the establishment of a regional network computer center. Prior to his work with NELINET, Mr. Mathews served as Associate Director of the Technical Information program at the MIT Libraries for almost nine years.

Mr. Trezza also announced that Richard G. Akeroyd, Jr., had joined the Program Planning Team of the White House Conference, bringing the total number of Program Planning Staff to seven. Mr. Akeroyd was formerly supervisor, Planning and Research, at the Connecticut State Library, Hartford, Connecticut.

Copyright Report

Mr. Goland asked that he be allowed to comment on the photocopy study scheduled to be discussed the following day, since he would not be able to be present for the discussion then. The study was well done, he said, and one the Commission could be proud of having sponsored.

Minutes

It was MOVED by Daniel Casey, and seconded by William Welsh, that the Minutes of the June 1 and 2 meeting held in New York City be accepted as submitted.

Status of Commission Appointments

In an effort to learn the status of the Commission appointments, Dr. Burkhardt stated that he tried to contact Ms. Peggy Rainwater, liaison for the White House Presidential Personnel Office from Chicago. She was not available, however, and was expected to return the call. Mr. Trezza reported that he has been in touch with Ms. Rainwater on a weekly basis, and she has assured him that announcements will be made before the next Commission meeting (rescheduled from November to December). Mrs. Moore has been in contact with the Presidential Personnel Office also and received assurance that action would be forthcoming. Dr. Burkhardt expressed his disappointment at the delay in the Commission appointments.

Education Commission of the States

Mrs. Moore reported that she, Mr. Becker, Mr. Trezza, Mrs. Reszetar, and J. Tighe had visited with the Executive Director, Dr. Warren Hill, and several staff members of the Education Commission of the States in NC July. The Education Commission of the States was organized by Terry Sanford after he became Governor of North Carolina. Membership is drawn from educators, legislators, private schools, higher education, and from highly powerful and successful organizations and individuals.

Mrs. Moore suggested that the staff correspond with Mr. Hill, perhaps monthly, to provide him with progress reports on our WHCLIS, as well as on any problems which may be encountered. He had specifically asked for information on the state conferences, she reported.

Mr. Becker, Mrs. Moore said, had stated that, "This meeting was perhaps the single most important function performed by NCLIS in recent months."

White House Conference

Mr. Trezza announced that there are two states experiencing particular problems, which may result in no state conference:

South Dakota reported that they would not have a state conference because they felt the guidelines are too inflexible. They had requested receipt of the \$10,000 base grant to hold a one-day meeting, and proposed to use no state money. Mr. Trezza had stated that this procedure did not meet the guidelines and would be unfair to the other states. Several suggestions were offered but none seem to meet South Dakota's problems.

Wyoming had simply reported that they were not planning to hold a conference, but WHCLIS staff has been in touch with Wyoming State Library staff since then, and it appears that that report may have been in error.

Mr. Velde, Chairman of the WHCLIS Committee, presented the following report which resulted from the two-day WHCLIS meeting held earlier in the week:

The following statement was adopted as a goal of the White House Conference on Library and Information Services:

It is the goal of the White House Conference Advisory Committee that the process of the pre-White House Conference will result in a serious examination, by each state and territory, of its own needs, and of the national program for library and information services, and other national issues, and in a substantive expression of its perceptions of its own roles and responsibilities in addressing those national issues.

It was the consensus of the Advisory Committee that the suggested minimum duration of 2-1/2 days for state/territorial conferences be considered a flexible guideline; however, two full days will be encouraged.

There was extensive discussion among Advisory Committee members regarding the number of official delegates to the National Conference. As a result of this discussion, it was moved and seconded by the Advisory Committee that each state and territory be given a minimum of four voting delegates and that the balance be worked out to achieve the following ratios:

	568 - Official state and territorial delegates
VOTING DELEGATES	70 - National at-large voting delegates - lay
	<u>35</u> - National at-large voting delegates - professional
TOTAL	673
	238 - Official state and territorial alternates
NON-VOTING DELEGATES	40 - NCLIS Commissioners (15) and WHCLIS Advisory Committee (25)
	100 - National at-large non-voting delegates - lay
	50 - National at-large non-voting delegates - professional
	<u>428</u>
GRAND TOTAL	<u><u>1,101</u></u>

The Advisory Committee--after a debate and a close vote--voted to change the staff's recommendation on how delegates from the state and territorial conferences to the National Conference should be determined. The revised policy reads: "The official voting delegates from the states and territories to the National Conference shall be selected in a manner which is to be left to the judgment of each state."

It was MOVED by John E. Velde, Jr., and seconded by Martin Goland, that the recommendation of the Advisory Committee regarding the selection of delegates to the National Conference be accepted by the Commissioners.

The motion was then amended to add to the statement: "through its WHCLIS Planning Committee," and the revised motion was then MOVED by William Welsh, and seconded by Marian Leith. The motion was carried, with Mildred Younger casting a vote against.

Mr. Velde continued his report.

The Advisory Committee, after establishing a committee of three to refine the statement provided by the staff, agreed to the following definition of "Lay vs. Professional."

"For the purposes of obtaining the broadest possible representation at the National Conference, one-third of the delegates are to be professionals from the library and information services community, while two-thirds are to be lay representatives. Professionals in this context are: librarians, information specialists, library and information science educators, and those involved in the governing, advising, operating or establishing policy for library or information services.

"Lay representation will be all others, including consumers and potential consumers of library and information services."

It was MOVED by John E. Velde, Jr., and seconded by Martin Goland, that the Commission accept the statement as suggested by the Advisory Committee. Passed unanimously.

ACTION Mr. Velde announced that there will be a complete package issued by the WHCLIS staff incorporating all the additions and revisions to previously distributed materials.

Mr. Trezza stated that in consultation with a prospective printer, it was decided the original logo adopted would be very difficult to reduce for use on letters and envelopes. As a result, the Typography and Design Division of the Government Printing Office, whose participation had been arranged through the National Endowment for the Arts, had developed four alternate logos for consideration by the Advisory Committee. The new logos, along with refinements of the original logo, were displayed. After discussion and consideration, the Advisory Committee decided on an "abstract" designed by the Government Printing Office. The members also agreed that red would be the official color of the logo, since two color designs were not authorized by the Federal Government.

It was MOVED by John E. Velde, Jr., and seconded by Julia Wu, that the logo selected by the Advisory Committee be approved. Passed unanimously.

Mr. Trezza reported on additional modification made to the "standard conference budget" formulas proposed for the states. If the states in the smallest category cannot meet even the reduced \$20,000 figure, they would be given a grant from NCLIS in the same proportion (62%) of the budget proposed, provided, he said, their budget was found to be satisfactory.

Mr. Velde recommended, and the Commission was in concurrence, that the Commission's White House Conference Committee, of which he is Chairman, be disbanded, now that a staff is on board and Advisory Committee meetings are scheduled regularly.

Mrs. Moore reported that all of the Advisory Committee Members had been in attendance with the exception of Senator Javits. Congressman Ford did not attend; however, he was represented by a member of his staff-- Ms. Roberta Stanley. "There was a great demand for recognition from each Member and each participated intelligently," Mrs. Moore stated.

There were four working committees established and tentative charges assigned:

- (1) Issues
- (2) Public Information
- (3) Special Constituencies and Users/Potential Users
- (4) State and Territorial Conferences

The next meeting of the White House Conference Advisory Committee will be held in Orlando, Florida, on February 9 and 10, 1978.

ACTION At the suggestion of Daniel Casey, the staff will prepare a speech on the White House Conference which could be useful to all Commissioners.

American Indian Committee Report

Mrs. Moore reported that the American Indian Committee had visited Indian reservations in Albuquerque, New Mexico, during mid-August. All members of the Committee, except Martin Goland, as well as Mrs. Reszetar and Mr. Trezza made the trip. Mrs. Moore stated that she felt the visit was very successful in that the Committee was able to obtain an understanding of the library problems which the Indians are experiencing. During the visit the Committee reviewed the projects being conducted through the Department of Health, Education, and Welfare under Title IIB funding, and visited a total of eight reservations in two days.

It was the Committee's findings that:

- (1) There seemed to be great interest in libraries on only some of the reservations;
- (2) The problem of funding is severe in every case. Tribal funds cannot be used because libraries are in competition with other projects or programs wanted and/or needed.
- (3) The demonstration projects which have been established are, geographically, well-located.
- (4) There is a lack of communication between and within tribes and a lack of cooperation between the tribal libraries and the public libraries.

- (5) Tribes prefer to have continuous Federal funding as opposed to project grant funding, which is uneven and unreliable.
- (6) There is an apparent great need for more libraries, more trained librarians, and to educate users on how to effectively use the library.
- (7) The Indians feel there is a disparity among State Library Agency assistance programs.

As a result of its meeting, the Committee presented four recommendations to the Commission:

- (1) That the Commission continue to support the five-year plan of the Bureau of Indian Affairs;
- (2) That the Commission approve a 1-2 day conference of State Library Agency staff in states with reservations. The main purpose of the conference would be to share ideas and to encourage State Library Agencies who are not providing technical library services to do so. The Conference could be a "fact-finding" study to determine what level of service is being provided to states with reservations by the State Library Agencies;
- (3) That the Commission urge the Office of Education to solicit more proposals for library service to Indians; and
- (4) That the Commission review government regulations to determine what kinds of assistance are available in this area.

Mrs. Moore stated that this site visit was significant and did focus on the library and information needs of Indians living on reservations. Further visits would not provide significant additional information, and she stated that she would not, therefore, recommend another visit.

ACTION It was **MOVED** by Bessie Moore, and seconded by Julia Wu, that a 1-2 day conference be held and sponsored by the National Commission to discuss the library and information service needs of Indians living on reservations with the State Library Agencies in whose states reservations existed. It was also recommended that the Office of Education and the Bureau of Indian Affairs be involved in the conference. Passed unanimously.

ACTION Mr. Trezza reported that a staff report on the visit is being prepared and will be distributed shortly. He proposed it be published, as well, as evidence of the Commission's continuing interest in and concern for **NATIVE AMERICANS**.

The pre-White House Conference for American Indians will be held in November of 1978, it was announced.

State Conference Attendance

It was established that, as a matter of policy, Commissioners could attend one state conference at Commission expense, but that Commissioners attending more than one state conference shall do so at their own expense. Commissioners would be expected to attend a state conference within their region, if not the conference of their own state.

ACTION At the request of Mildred Younger, Mr. Trezza agreed to prepare a letter for Commissioners' Internal Revenue Service use confirming attendance at state conferences as official business.

Project, Task Force, and Committee Reports

--National Periodicals System

Mr. Trezza reported that since the last Commission meeting, the following progress is underway:

- (1) The Library of Congress has not, as yet, obtained the initial \$75,000 grant to begin the design of the Periodical Center. The project is expected to take about nine months and funding from a consortium of foundations is anticipated.
- (2) A letter has been written to the Association of Research Libraries, American Library Association, Special Libraries Association, American Society for Information Science, Association of American Publishers, and Information Industry Association requesting names of individuals who might serve on the Commission's Advisory Committee to the National Periodical System. Recommendations have been received from all associations, and Mr. Trezza announced a compilation of the names will be shared with the Commission.
- (3) Mr. Welsh is setting up the Library of Congress Advisory Committee to the Center with some overlap of personnel to assure communication. After discussion on this project, Dr. Burkhardt stated, "This may be the beginning of just about the most important thing we are engaged in. I think we should do everything we can do to keep the momentum up."

ACTION

--Z-39

The latest revision of the report on the recommended future directions of Z-39 was distributed to the Commission.

The Task Force on American National Standards Committee Z-39, Activities and Future Directions, had been charged with:

- (1) Recommending an organization to sponsor the Committee as Secretariat; and
- (2) Assessing Z-39's present mode of operation in terms of leadership, responsiveness to community initiatives, unified versus dual chairmanship, use of subcommittees versus commissioned studies, and alternative means of financial support.

Under Chairman Joseph Becker, the Task Force met three times with the fourth, and final, meeting scheduled for October 13 and 14, 1977.

The Task Force has recommended that the American Standards Committee Z-39's name be changed from Standardization in the Field of Library Work, Documentation, and Related Publishing Practices, to Standardization in the Field of Information Transfer, Library Activities, and Related Publishing Practices.

The Task Force also recommended that the scope of Z39 be restated as follows:

"To develop standards for information systems and their products, services, and utilization, particularly library, publishing, document delivery, information dissemination, and related systems for handling information and data in all media."

Based upon closer scrutiny of the criteria developed for the selection of a Secretariat, the Task Force identified the following organizational alternatives:

- (1) New not-for-profit organization;
- (2) National Commission on Libraries and Information Science;
- (3) American Library Association;
- (4) American Society for Information Science;
- (5) Library of Congress.

Messrs. Casey, Cuadra, and Goland expressed their concern that the Commission, since it is not an operating agency, should not be considered, and did not see this function as our area of concern. In reiterating this opinion, Mr. Goland stated, "The Commission is supposed to be a policy advising group. We decided early on that we would not expand this role to an operational function--and, a Secretariat is an operating function."

In response, Mr. Welsh stated, "We have got to revitalize and continue this activity, improve upon it, and I cannot think of a single agency (other than the NCLIS) that is without some kind of bias. Perhaps the NCLIS should take this activity on indefinitely. Z-39 needs funding and NCLIS could be the sponsoring agency for Z-39--providing staff services, not operations."

Mr. Trezza stated that strong support seems to be in favor of a "new-not-for-profit organization"--which has several advantages over the four existing organizations:

- (1) It could be more representative of the diverse interests than any of the others; and
- (2) The ability to take a more active role in the promotion of standards through sales and other marketing programs.

Dr. Burkhardt suggested that the Council on Library Resources seemed to be the appropriate organization to undertake this assignment. Mr. Trezza stated that they had been approached but declined. Dr. Burkhardt asked Mr. Trezza to discuss the matter with Mr. James Haas, CLR, to see if they would reconsider their decision.

ACTION

ACTION

The Commissioners' comments, as well as those of the Council on Library Resources and the National Science Foundation, on the recommended future directions are being requested. Upon completion and acceptance of this report, wide distribution for reaction from the library and information communities will be made.

--Project Mediabase

Ms. Tighe stated that the final report on the Project Mediabase should be ready for submission to the Commission before the December meeting.

--Task Force on Computer-to-Computer Protocols

Ms. Tighe reported that the final report should also be ready for submission to the Commissioners before the December meeting.

--LC Role in the National Network (Buckland Study)

This study, Ms. Tighe reported, has been underway over one and one-half years. The final report is in preparation and should be available for submission to the Commission before the December meeting.

--Authority File Project

During the June Commission meeting, the Commission authorized its Executive Committee to approve funding for the Library of Congress' Authority File Project upon receipt of an acceptable proposal. The budget for this project is sizable and, therefore, the proposal has been broken out into separable pieces, for ease of funding solicitation. NCLIS, as a funding agency for a portion of the project, would receive a finished product that, while discrete, would nonetheless be a logical component of the whole. The proposal was submitted to the Executive Committee in September, and, by phone vote, they approved expenditure of NCLIS funds for this project.

The expected outcome of the study is the actual design of an authority control system for a national network data base.

The amount of this first portion of NCLIS funding for the project is \$57,000. The Commission's remaining portion (approximately \$53,000) will be included in the next fiscal year's appropriation.

ACTION Mrs. Henriette Avram, Library of Congress Network Development Office, will be invited to report on this project and other related LC projects NCLIS has funded in an in-depth discussion during the December meeting.

--Toward a National Library and Information Service Network: The Library Bibliographic Component

The final draft of this report recommends:

- (1) Expansion of the Library of Congress' national bibliographic service;
- (2) Design of the network configuration or architecture; and
- (3) Determination of legal and organizational structure.

The members of the Network Advisory Group did agree to disseminate this paper to the membership and governing boards of their respective organizations and to work toward acceptance of this paper as a planning document for the library bibliographic component of the National Library and Information Service Network. The Network Advisory Group also endorses the efforts of the Library of Congress to obtain additional resources to perform the tasks listed in the report.

Ms. Tighe expressed her opinion that this report "will definitely affect the state networks."

Mrs. Avram will also be asked to cover this subject at the December meeting.

--Task Force on the Role of the School Library Media Program in Networking

Mrs. Julia Wu expressed her concern with the progress of this task force. In particular, she cited: (1) The task force does not appear to have a clear understanding of its charge; and (2) she has reservations on their selection of a person to conduct an open forum to be held during the ALA Midwinter Meeting in Chicago next January.

The charge to the task force was broad, Mrs. Wu noted, in that it called for the task force to review the state of networking in school library media programs nationwide and to develop a position paper which will clarify, delineate, and describe the role of school library media programs within the national program. To this end, the task force has a responsibility for establishing a school networking model to inform the public of just what school media programs can offer and the benefits to be gained in a national networking program.

Mr. Trezza invited Julia Wu to serve as Co-Chairman of the open forum to be held at the American Library Association's Midwinter Meeting on January 23, 1978.

New Task Forces

Mr. Trezza announced that it is anticipated that the following task forces will begin this fall:

- (1) Task Force on the Private Sector;
- (2) Task Force on National Information Policy;
- (3) Task Force on International Relations; and
- (4) Task Force on Monographs.

Resolution on NCLIS Publishing

At a request of the Commissioners at a previous meeting, a draft resolution on "NCLIS Publishing" was presented. With only minor revisions, it was MOVED by Martin Goland, and seconded by John E. Velde, Jr., that the resolution be adopted, provided it be clearly understood that the decision to publish material within the context of the resolution be contingent upon: (1) prior Executive Committee approval; and (2) the availability of funds. Passed unanimously.

Resolution on NCLIS Publishing

WHEREAS, the National Commission on libraries and Information Science (NCLIS) has as its goal, "equal opportunity of access for all," and

WHEREAS, NCLIS may, on occasion, wish to publish reports which, while generated by organizations other than NCLIS, relate to the NCLIS goal and objectives, in order to make them more readily accessible to the general public/library and information community, and

WHEREAS, the NCLIS can only afford to publish a limited number of items other than those it has itself generated in any given fiscal year, and

WHEREAS, NCLIS does not wish to interfere with the prerogatives of the private sector, and

WHEREAS, the determination of which items the NCLIS should publish must be determined with scrupulous objectivity, impartiality and integrity,

NOW, THEREFORE, BE IT RESOLVED: That any item offered to NCLIS for publication that is not a direct product of an NCLIS-funded activity be submitted to the NCLIS Executive Committee for approval before such publication by NCLIS is undertaken, and

BE IT FURTHER RESOLVED: That the principles expressed in the preamble to this resolution serve as guidelines in accordance with which the Executive Committee shall make its decision.

Brain Research Institute

Mr. Velde suggested that a visit to the Brain Research Institute in Los Angeles, California, could prove beneficial to the work of the Commission. If it can be arranged, the Commission agreed to visit the Institute while meeting in Los Angeles in December. Mr. Velde and Dr. Cuadra agreed to pursue the matter.

ACTION

LSCA Amendment and Extension

Mr. Trezza reported that on September 9, 1977, members of the House-Senate Conference Committee agreed on legislation amending and extending for five more years the Library Services and Construction Act. The amended Act makes provision for financial support to urban libraries but does so in an almost impossible way. It states that 50% of all funds over the appropriation of \$60 million would go to urban cities of over 100,000, based on population. The LSCA appropriation has not reached \$60 million in the last five years. In spite of this, however, the amendment is a breakthrough and the hope is that pressure from the urban cities on their Congressional delegations and the Administration can provide some funds in fiscal year 1979.

The bill also authorizes Title I expenditures of \$110 million for fiscal year 1978, \$140 million for fiscal year 1979, and \$150 million for for fiscal year 1980 through 1982. The bill provides authorization for Title III of the LSCA, grants for interlibrary cooperation programs,

at \$15 million for fiscal year 1978 and \$20 million for each of the next four fiscal years. The new bill would also require that the administrative funds expended by states in carrying out programs under the LSCA be matched by the states from other than Federal monies.

Labor-HEW Appropriations

A deadlock over the abortion issue is expected to mean "payless paydays" for the 240,000 Labor-HEW employees. Employees paid under the Labor-HEW appropriations bill (which includes the NCLIS and its staff) will receive a full two weeks' pay the first Tuesday in October, for the second and third work weeks in September. They will get one week's pay for September's closing work week on the next pay day, October 18. Beyond this, however, pay will stop--unless Congress acts to pass either a continuing resolution, or the Labor-HEW bill itself. Mrs. Moore stressed her concern and added, reluctantly that a reliable source has informed her that there will not be a continuing resolution this time to alleviate this problem. (A continuing resolution was passed to cover the month of October only.)

Fiscal Year 1978 Budget

Mr. Trezza stated that the current year's budget is \$508,000. The fiscal year 1978 starts October 1, and the NCLIS budget will be \$563,000; this includes authorization for an additional secretarial person.

The 1979 budget has been prepared and submitted to the Office of Management and Budget. Zero-based budgeting is now in effect and requires excessive work in that five separate budget levels had to be prepared. Mr. Price was assigned the basic responsibility for preparing the zero-based budgeting material.

Annual Report

Mr. Trezza reported that the 1976-77 Annual Report is being prepared by Mr. Price. With the change in the fiscal year, the report will now cover July 1, 1976, through September 30, 1977 and thus is due three months later than heretofore.

AT&T Hearings

Ms. Nighe reported that Congressman VanDeerlin's Subcommittee of the House has decided to reexamine the Federal Communications Act of 1934 and thus has shelved, for the moment, action on the AT&T bill. Orientation sessions have been held for VanDeerlin's Subcommittee addressing specific telecommunications technologies.

A position paper expressing the Commission's concerns was expected to be available for this meeting, but had not been received.

At the request of Mr. Trezza, it was MOVED by Martin Goland, and seconded by Bessie Moore, that the Executive Committee be empowered to review the report when available and determine the advisability of submitting the paper to VanDeerlin's Subcommittee.

Related Papers

Several related papers have still not been received, Mr. Trezza reported, and appropriate means of action were discussed.

It was MOVED by William Welsh, and seconded by Carlos Cuadra, "that if the authors do not provide satisfactory reports by December 1, 1977, the NCLIS contract shall be considered cancelled." Passed unanimously.

ACTION

The Commissioners requested that a status report on the delinquent papers be submitted to them at the December meeting.

NCLIS Pins

Mrs. Moore polled the members on their interest in obtaining and contributing \$50.00 each toward the purchase of additional NCLIS logo pins. Actual costs for the pins will be obtained by the staff and the results will be placed on the December meeting agenda for discussion and final decision.

ACTION

September 30, 1977

The meeting was called to order at 8:30 a.m. by Chairman Burkhardt.

The morning session was devoted to a presentation by Mr. Donald King, Principal Investigator of the report entitled, "Library Photocopying in the United States: With Its Implications for the Development of a Copyright Royalty Payment Mechanism." The report, jointly funded by NCLIS, the National Science Foundation, and the National Commission on New Technological Uses of Copyrighted Works (CONTU), was completed on August 31, 1977.

The long-awaited results of the study reveal that an estimated 114 million serial articles were copied on library-supervised photocopiers in 1976, and that a large majority of all photocopying subject to fee is done by a small percentage of libraries. Further, depending on how CONTU guidelines are finally resolved to delineate provisions of the new copyright law, a tremendous volume of photocopying fee payments could hang in the balance.

Also reported was a second phase of the study involving MINITEX, the inter-library loan system in Minnesota and a few surrounding states, which focused on frequency of photocopying requests as related to characteristics of periodicals.

The King study also revealed that the total number of copied articles that are subject to fee under CONTU guidelines is quite small. For example, with interlibrary loan, Mr. King projected the following breakdown for all U.S. libraries from his test: (The sample is of 370 libraries.)

<u>Interlibrary Loan - Projected U.S. Breakdown</u>	<u>Millions (Cumulative)</u>
Total serial requests	6.0
U.S. published serials	4.3
Requests filled by photocopy	3.8
Copied articles w/copyright	3.1
Published in last five years	2.1
Non-fair use requests	2.0
Fee-payable articles	0.5

One implication of this cumulative interlibrary loan breakdown is that if publishers should agree to a standard fee for each photocopied article of, for instance, 50 cents for each payment eligible photocopy, the total fee payments generated from interlibrary loan in a year would be only \$250,000.

The MINITEX section of the report revealed that academic libraries had the largest demand for multiple copies of a single journal article. When librarians were polled as to their preferences for payment, most said they would rather pay higher subscription prices which would include fees for the right to photocopy the articles on an unlimited basis than paying royalties on an article-by-article basis.

Dr. Burkhardt asked, "Looking at the results, from the publisher's point of view, if you adopted the most beneficial set of criteria for eligibility, is there a problem of making any system of royalty payments cost effective? What kind of system would pay off? It seems to me to be pretty hard to make this thing pay on certain basis."

Mr. King replied to Dr. Burkhardt, "Yes, I think that 20 percent of the publishers would find it financially beneficial to get that amount of money. After January 1978, we do not have any idea as to whether libraries will continue to behave as they have in the past. There will be some changes--not all will react the same to the law. They may get more coin-operated machines, drop subscriptions, etc."

ACTION Mr. Trezza asked the Commissioners for specific comments on the report. Dr. Cuadra stated, "I think this is a spectacularly important report; however, I feel it needs a substantial amount of work to do it justice--in particular, editorial work." Mr. King was commended for his careful statistical analyses and thorough research.

Dr. Cuadra will prepare his comments on the report and contact Mr. King. After final comments are received, the report will be published for wide distribution. It was recommended that a similar study be conducted in another year or two to determine what changes in photocopy patterns had occurred as a result of passage of the copyright law. The present study is invaluable as a "benchmark"--a picture of the present patterns of certain kinds of photocopying that existed before the passage of the new law.

Of specific interest to the Commissioners, it was pointed out, is an article in American Libraries, September issue, by Mr. Richard De Gennaro. In this article, Mr. De Gennaro states:

- (1) The final versions of sections 107 and 108 and the CONTU guidelines are fair to authors, publishers, and librarians;
- (2) The new law changes virtually nothing for most librarians;
- (3) Librarians should not permit themselves to be bullied or bluffed by publishers into buying privileges they have always had;
- (4) All too frequently, cooperation is merely a pooling of poverty; and
- (5) Resource sharing is essential but it is not a panacea.

The article has three aims. One is to put the matter of copyright and its possible effects on libraries and publishers into better perspective by offering some data and insights based on practical experience. Another is to urge librarians to exercise freely all the considerable rights the new law grants them. The third is to dispel some of the exaggerated fears and hopes that many publishers and librarians have about the harmful or beneficial effects that increasingly effect interlibrary loan, net-working, and other resource sharing mechanisms will have on their finances and operations.

ACTION Mr. Trezza offered the staff's assistance in distributing the article to each member.

It was **MOVED** by Mr. William Welsh, and seconded by Mrs. Marian Leith, that the report prepared by King Research, Inc., entitled, "Library Photocopying in the United States: With Its Implications for the Development of a Copyright Royalty Payment Mechanism," be accepted. The motion was passed unanimously.

ACTION It was further agreed that a "fairly-long executive summary--which could stand alone--be written for distribution." The total cost of the report was: \$75,000 for NSF's portion; \$61,574 for CONTU's portion; and \$36,744 for NCLIS, for a total of \$173,318.

Commissioners' Comments

Mrs. Leith expressed her concern for the level of service to handicapped individuals, ethnic groups, patrons of rural libraries, etc. "What is the magnitude of exclusion for these individuals?" she asked. Ms. Tighe suggested this concern be addressed through the WHCLIS Committee on Special Constituencies. Mrs. Leith also expressed concern at the impact observance of the recently passed legislation mandating access to library facilities would have on library expenditures. The required modifications are expensive, she asserted, and funds are not available to spend on the necessary construction.

ACTION In response, Mr. Trezza offered to write a letter to Mr. Joseph Califano, Secretary of the Department of Health, Education, and Welfare urging his support in making LSCA Title II available for meeting these requirements on the part of libraries.

There was the suggestion that the "Library and Information Service Needs of the Nation," (often referred to as the "User Needs Study") contains valuable information and should be reviewed for its findings and suggestions for library services in this area.

ACTION Mr. Casey requested the staff to look into the possibility of using obsolete or damaged U.S. mail boxes as library book drops. (This has since been done and in checking with the U.S. Postal Service Headquarters, Consumer Advocate's Office, we were informed that because of the possibility that the mail boxes would be mistaken as mail drops they cannot be used for any other purpose, and, therefore, cannot be sold. These boxes are recycled by the Postal Service until they are no longer useful and at that time they are destroyed.)

Mr. Trezza reported that the citations in recognition of prior Commission Members have been printed and are in the process of being framed.

Dr. Burkhardt reported on a meeting of the Advisory Committee for the Ohio College Library Center, of which he and Mr. Welsh are members. Arthur D. Little Company is conducting a report of what OCLC's governance should be like in the context of national developments. This report could have a lot of interest for the Commission.

NCLIS Meeting Dates

Mr. Trezza offered the following proposed meeting dates for Commission consideration:

December 5 and 6, 1977	- Los Angeles, California
March 9 and 10, 1978	- Washington, D.C.
May 25 and 26, 1978	- To be determined
September 21 and 22, 1978	- To be determined
December 7 and 8, 1978	- To be determined

Mr. Trezza recommended that the next Commission meeting, originally scheduled for November 10 and 11, 1977, be changed to December 5 and 6. The change in the meeting date would serve two main purposes, he stated. First, Dr. Burkhardt could not attend the November meeting, but could attend in December, and, secondly, the lapse in time would allow for more Commission activity on which to report.

A show of hands approved the change in the next Commission meeting from November to December 5 and 6 in Los Angeles, California.



National Commission on Libraries and Information Science

1717 K STREET, N.W. SUITE 601, WASHINGTON, D.C. 20036

OFFICIAL VOTES AND ACTIONS

September 29 and 30, 1977
Chicago, Illinois

- (1) It was MOVED by Daniel Casey, and seconded by William Welsh, that the Minutes of the June 1 and 2, 1977, meeting be approved as submitted. Passed unanimously.
- (2) It was MOVED by John E. Velde, Jr., and seconded by Martin Goland, that the official voting delegates from the states and territories to the National Conference shall be selected in a manner left to the judgment of each state through its White House Conference Planning Committee, as recommended by the WHCLIS Advisory Committee. However, the underlined words were MOVED by William Welsh, and seconded by Marian Leith.

The motion was carried, with Mildred Younger casting a vote against.

- (3) It was MOVED by John E. Velde, Jr., and seconded by Martin Goland, that the definition of "Lay vs. Professional," recommended by the WHCLIS Advisory Committee, be adopted:

For the purpose of obtaining the broadest possible representation at the National Conference, one-third of the delegates are to be professionals from the library and information services community, while two-thirds are to be lay representatives. Professionals in this context are: librarians, information scientists, audiovisual specialists, library and information science, educators, and those involved in the governing, advising, operating or establishing policy for library or information services.

Lay representation will be all others including consumers and potential consumers of library and information services.

The motion was passed unanimously.

- (4) It was MOVED by John E. Velde, Jr., and seconded by Julia Wu, that the logo selected by the WHCLIS Advisory Committee be approved. Passed unanimously.
- (5) John E. Velde, Jr., recommended, and the Commission was in concurrence, that the Commission's White House Conference Committee of which he is Chairman, be disbanded, now that staff is on board and Advisory Committee meetings are scheduled regularly.
- (6) It was MOVED by Bessie Moore, and seconded by Julia Wu, that a one-to-two-day conference be held and sponsored by the National Commission to discuss the library and information service needs of Native Americans living on reservations with the State Library Agencies in whose states reservations existed. It was also recommended that the U.S. Office of Education and the Bureau of Indian Affairs be involved in the conference. Passed unanimously.
- (7) It was established that, as a matter of policy, Commissioners could attend one state conference at Commission expense, but that Commissioners attending more than one state conference shall do so at their own expense. Commissioners would be expected to attend a state conference within their region, if not the conference of their own state.
- (8) It was MOVED by Martin Goland, and seconded by John E. Velde, Jr., that the Resolution on NCLIS Publishing be adopted, provided it be clearly understood that the decision to publish material within the context of the resolution be contingent upon: (1) prior Executive Committee approval, and (2) the availability of funds. Passed unanimously.
- (9) It was MOVED by Martin Goland, and seconded by Bessie Moore, that the Executive Committee be empowered to review the report on the AT&T Hearings when available and determine the advisability of submitting the paper to Congressman VanDeerlin's Subcommittee.
- (10) It was MOVED by William Welsh, and seconded by Carlos Cuadra, that if the authors of the related papers do not provide satisfactory reports by December 1, 1977, the NCLIS contract shall be considered cancelled. Passed unanimously.
- (11) It was MOVED by William Welsh, and seconded by Marian Leith, that the report prepared by King Research, Inc., entitled, "Library Photocopying in the United States, With its Implications for the Development of a Copyright Royalty Mechanism," be accepted. Passed unanimously.

- (12) It was recommended that a similar study be conducted in another year or two to determine what changes in photocopy patterns had occurred as a result of passage of the copyright law.
- (13) The Commission agreed to accept the 1978 Commission meeting dates, as presented. By unanimous agreement, the next meeting of the Commission was changed from November 10 and 11 to December 5 and 6, 1977.

October 12, 1977



National Commission on Libraries and Information Science

1717 K STREET, N.W. SUITE 601, WASHINGTON, D.C. 20036

28 October 1977

TO: Member, White House Conference on Library and Information
Services Advisory Committee

FROM: Alphonse F. Trezza
Executive Director

SUBJECT: 26-27 September 1977 meeting in Chicago

Attached is a copy of the recommendations adopted by the Advisory Committee at its meeting in Chicago on 26-27 September 1977.

We are also enclosing a copy of the actions taken on the recommendations by the National Commission.

In addition, we are including a copy of an information update which we are sending to Members of the Commission and to the states.

You will note that there was one clarifying addition on the recommendation which covered the selection of delegates to the National Conference.

Enclosures

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